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                 enhanced on STN
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                 (PSL) data
NEWS 9 JUL 27 CA/CAplus enhanced with new citing references
NEWS 10 JUL 16 GBFULL adds patent backfile data to 1855
NEWS 11 JUL 21 USGENE adds bibliographic and sequence information
NEWS 12 JUL 28 EPFULL adds first-page images and applicant-cited
                 references
NEWS 13 JUL 28 INPADOCDB and INPAFAMDB add Russian legal status data
NEWS 14 AUG 08 Improve STN by completing a survey and be entered to
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         AUG 17 CAS REGISTRY, the Global Standard for Chemical
                 Research, Approaches 50 Millionth Registration
                 Milestone
NEWS 17 AUG 18 COMPENDEX indexing changed for the Corporate Source
                 (CS) field
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NEWS EXPRESS MAY 26 09 CURRENT WINDOWS VERSION IS V8.4, AND CURRENT DISCOVER FILE IS DATED 06 APRIL 2009.

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chain nodes : 13 14 15 16 17 18 19 20 21 22 23 24 25 26 27 28 29 31 32 ring nodes : 1 2 3 4 5 6 7 8 9 10 11 12 chain bonds :  $1-18 \quad 2-25 \quad 3-19 \quad 4-26 \quad 5-13 \quad 6-24 \quad 7-21 \quad 8-14 \quad 9-22 \quad 10-23 \quad 11-17 \quad 12-20 \quad 13-14$ 13-16 14-15 17-27 18-32 19-31 27-29 27-28 ring bonds : 1-2 1-6 2-3 3-4 4-5 5-6 7-8 7-12 8-9 9-10 10-11 11-12exact/norm bonds : 1-18 3-19 11-17 17-27 27-29 27-28 exact bonds :  $2-25 \quad 4-26 \quad 5-13 \quad 6-24 \quad 7-21 \quad 8-14 \quad 9-22 \quad 10-23 \quad 12-20 \quad 13-14 \quad 13-16 \quad 14-15 \quad 18-32$ 19-31 normalized bonds :  $1-2 \quad 1-6 \quad 2-3 \quad 3-4 \quad 4-5 \quad 5-6 \quad 7-8 \quad 7-12 \quad 8-9 \quad 9-10 \quad 10-11 \quad 11-12$ 

## G1:Cy,Ak

## Match level :

1:Atom 2:Atom 3:Atom 4:Atom 5:Atom 6:Atom 7:Atom 8:Atom 9:Atom 10:Atom 11:Atom 12:Atom 13:CLASS 14:CLASS 15:CLASS 16:CLASS 17:CLASS 18:CLASS 19:CLASS 20:CLASS 21:CLASS 22:CLASS 23:CLASS 24:CLASS 25:CLASS 26:CLASS 27:CLASS 28:CLASS 29:CLASS 31:CLASS 32:CLASS

27 ANSWERS

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FULL SEARCH INITIATED 16:34:42 FILE 'REGISTRY'
FULL SCREEN SEARCH COMPLETED - 1728 TO ITERATE

100.0% PROCESSED 1728 ITERATIONS SEARCH TIME: 00.00.01

L2 27 SEA SSS FUL L1

=> FILE CAPLUS

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SINCE FILE TOTAL ENTRY SESSION 185.88 186.10

FULL ESTIMATED COST

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FILE COVERS 1907 - 20 Aug 2009 VOL 151 ISS 8

FILE LAST UPDATED: 19 Aug 2009 (20090819/ED)

REVISED CLASS FIELDS (/NCL) LAST RELOADED: Jun 2009

USPTO MANUAL OF CLASSIFICATIONS THESAURUS ISSUE DATE: Jun 2009

CAplus now includes complete International Patent Classification (IPC) reclassification data for the second quarter of 2009.

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=> S L2

L3 43 L2

=> D L3 IBIB ABS HITSTR 1-43

L3 ANSWER 1 OF 43 CAPLUS COPYRIGHT 2009 ACS on STN

ACCESSION NUMBER: 2009:296715 CAPLUS

DOCUMENT NUMBER: 150:313286

TITLE: Resveratrol ferulate compounds, topical compositions containing the compounds, and methods of using the

same in skin lightening and anti-aging cosmetic

formulations

INVENTOR(S): Bratescu, Daniela; Mohammadi, Fatemeh; Zecchino,

Jules; Daneshyar, Fred

PATENT ASSIGNEE(S): Elc Management LLC, USA SOURCE: PCT Int. Appl., 59pp.

CODEN: PIXXD2

Patent DOCUMENT TYPE: English LANGUAGE:

FAMILY ACC. NUM. COUNT: 1

PATENT INFORMATION:

PAT	PATENT NO.				KIN	D	DATE		APPLICATION NO.						DATE			
WO WO	2009 2009				A2 A3	_	2009 2009		,	wo 2	008-	US75	210		2	080	904	
	W:	CA, FI, KG,	CH, GB, KM,	CN, GD, KN,	CO, GE, KP,	CR, GH, KR,	AT, CU, GM, KZ,	CZ, GT, LA,	DE, HN, LC,	DK, HR, LK,	DM, HU, LR,	DO, ID, LS,	DZ, IL, LT,	EC, IN, LU,	EE, IS, LY,	EG, JP, MA,	ES, KE, MD,	
	RW:	PL, TM, AT, IE, TR,	PT, TN, BE, IS, BF,	RO, TR, BG, IT, BJ,	RS, TT, CH, LT, CF,	RU, TZ, CY, LU, CG,	MX, SC, UA, CZ, LV,	SD, UG, DE, MC, CM,	SE, US, DK, MT, GA,	SG, UZ, EE, NL, GN,	SK, VC, ES, NO, GQ,	SL, VN, FI, PL, GW,	SM, ZA, FR, PT, ML,	ST, ZM, GB, RO, MR,	SV, ZW GR, SE, NE,	SY, HR, SI, SN,	TJ, HU, SK,	
US PRIORITY	2009 Y APP	AM, 0068	132	·	,	KZ,	LS, MD, 2009	RU,	TJ,	TM, US 2 US 2	•	EA, 2040 9709	EP, 64 43P	OA	2 P 2	ZM, 0080 0070 0080	904 908	

OTHER SOURCE(S): MARPAT 150:313286

The present invention relates to cosmetic compns. containing resveratrol ferulate in a topically acceptable carrier. Such compns. are particularly effective for skin lightening and anti-aging applications and have excellent color stability and extended shelf life. Thus, resveratrol ferulates were synthesized by liquid-phase esterification: 91.3 g of resveratrol (approx. 0.4 M) was first dissolved in 300 mL of THF to form a first solution; 77.7 g of ferulic acid (approx. 0.4 M) was dissolved in 300 mL of THF to form a second solution; 0.1 g of p-toluene sulfuric acid was dissolved in 20 mL of THF to form a third solution; the three solns. were then mixed followed by addition of 10 mL of benzene, heated until boiling, and the boiling was continued under reflux for 5 h to collect 50 mL of distillate; next, 50 mL of THF and 10 mL of benzene were added into the liquid mixture, which was continued to be heated under reflux for another 5 h to collect another 50 mL of distillate; another 50 mL of THF and 10 mL of benzene were added into the liquid mixture, followed by continuous heating of the liquid mixture under reflux for yet another 5 h. All the distillate so collected was discarded, and boiling of the liquid mixture was continued to distill off more solvent until the liquid mixture in the flask became viscous, but before any solid phase started to form in it (if a solid phase started to form, add some THF into the liquid mixture to dissolve it); the heat was then turned off, and the contents of the flask were allowed to cool slowly, thereby forming solid crystals in the liquid mixture The end product formed was a mixture of resveratrol monoferulate, resveratrol diferulate, and/or resveratrol triferulate and their resp. isomers; such mixture is therefore jointly to as "resveratrol ferulates" and was formulated into various topical or cosmetic compns.

1129399-79-6

RL: COS (Cosmetic use); THU (Therapeutic use); BIOL (Biological study); USES (Uses)

(resveratrol ferulate compds., topical compns. containing compds., and methods of using same in skin lightening and anti-aging cosmetic

formulations)

RN 1129399-79-6 CAPLUS

CN 2-Propenoic acid, 3-(4-hydroxy-3-methoxyphenyl)-,

4-[(1E)-2-(3,5-dihydroxyphenyl)ethenyl]phenyl ester (CA INDEX NAME)

Double bond geometry as described by  ${\tt E}$  or  ${\tt Z}$ .

L3 ANSWER 2 OF 43 CAPLUS COPYRIGHT 2009 ACS on STN

ACCESSION NUMBER: 2009:116085 CAPLUS

DOCUMENT NUMBER: 150:176361

TITLE: Resveratrol complex and process for the preparation

INVENTOR(S): Arigony Souto, Andre

PATENT ASSIGNEE(S): Uniaeo Brasileira De Educacao E Assitencia-Mantenedora

Da Pucrs, Brazil; Eurofarma Laboratorios Ltda.

SOURCE: PCT Int. Appl., 36pp.

CODEN: PIXXD2

DOCUMENT TYPE: Patent LANGUAGE: English

FAMILY ACC. NUM. COUNT: 1

PATENT INFORMATION:

PAT	PATENT NO.				KIN	D	DATE		APPLICATION NO.						DATE		
WO	2009	 0125	 51		A1	_	 2009	0129	1	WO 2	 008-:	BR21	 6		2	0080	723
	W:	ΑE,	AG,	AL,	AM,	AO,	ΑT,	ΑU,	AZ,	BA,	BB,	BG,	BH,	BR,	BW,	BY,	BZ,
		CA,	CH,	CN,	CO,	CR,	CU,	CZ,	DE,	DK,	DM,	DO,	DZ,	EC,	EE,	EG,	ES,
		FI,	GB,	GD,	GE,	GH,	GM,	GT,	HN,	HR,	HU,	ID,	IL,	IN,	IS,	JP,	KE,
		KG,	KM,	KN,	KP,	KR,	KΖ,	LA,	LC,	LK,	LR,	LS,	LT,	LU,	LY,	MA,	MD,
		ME,	MG,	MK,	MN,	MW,	MX,	MY,	MZ,	NA,	NG,	NI,	NO,	NZ,	OM,	PG,	PH,
		PL,	PT,	RO,	RS,	RU,	SC,	SD,	SE,	SG,	SK,	SL,	SM,	ST,	SV,	SY,	ТJ,
		TM,	TN,	TR,	TT,	TZ,	UA,	UG,	US,	UZ,	VC,	VN,	ZA,	ZM,	ZW		
	RW:	ΑT,	BE,	BG,	CH,	CY,	CZ,	DE,	DK,	EE,	ES,	FI,	FR,	GB,	GR,	HR,	HU,
		ΙE,	IS,	ΙΤ,	LT,	LU,	LV,	MC,	MT,	NL,	NO,	PL,	PT,	RO,	SE,	SI,	SK,
		TR,	BF,	ВJ,	CF,	CG,	CI,	CM,	GΑ,	GN,	GQ,	GW,	ML,	MR,	NE,	SN,	TD,
		ΤG,	BW,	GH,	GM,	KE,	LS,	MW,	MZ,	NA,	SD,	SL,	SZ,	TZ,	UG,	ZM,	ZW,
		AM,	ΑZ,	BY,	KG,	KΖ,	MD,	RU,	ΤJ,	TM							
RITY APPLN. INFO.:										BR 2	007-	5319			A 2	0070	723

PRIORITY APPLN. INFO.:

BR 2007-5319

A 20070723

AB The present invention provides products having resveratrol with high water solubility and nutraceutical and/or phytotherapic compns. having said

substances. The processes for obtaining them include the solubility increase of the polyphenol corresponding to a resveratrol compound, preferably trans-resveratrol in water, by its complexation with cyclodextrin under specific conditions that favor thermodn. equilibrium The products of the invention present high solubility and purity in aqueous medium, being, therefore, useful

to

prepare nutraceutical compns. (pharmaceutical and/or alimentary) with antioxidant, anti-inflammatory, antiviral, antidiabetics, cardioprotective, neuroprotective, chemoprotective activities; besides protecting against infections and ischemia, reducing obesity, and preventing aging. Phytotherapic compns. useful to the same therapeutical activities, prepared from the complex of resveratrol and cyclodextrin compound, preferably beta- cyclodextrin/trans-resveratrol, are also provided.

IT 411233-11-9

RL: PAC (Pharmacological activity); THU (Therapeutic use); BIOL (Biological study); USES (Uses)

(resveratrol complex and process for the preparation)

RN 411233-11-9 CAPLUS

CN 1,3-Benzenediol, 5-[(1E)-2-[4-(acetyloxy)phenyl]ethenyl]- (CA INDEX NAME)

Double bond geometry as shown.

REFERENCE COUNT: 4 THERE ARE 4 CITED REFERENCES AVAILABLE FOR THIS RECORD. ALL CITATIONS AVAILABLE IN THE RE FORMAT

L3 ANSWER 3 OF 43 CAPLUS COPYRIGHT 2009 ACS on STN

ACCESSION NUMBER: 2008:1530346 CAPLUS

DOCUMENT NUMBER: 150:77699

TITLE: Compositions and methods of use for treating or

preventing lipid related disorders

INVENTOR(S): Currie, Mark; Talley, John; Cali, Brian PATENT ASSIGNEE(S): Ironwood Pharmaceuticals, Inc, USA

SOURCE: PCT Int. Appl., 197pp.

CODEN: PIXXD2

DOCUMENT TYPE: Patent LANGUAGE: English

FAMILY ACC. NUM. COUNT: 1

PATENT NO.	KIND	DATE	APPLICATION NO.	DATE		
WO 2008157537	A2	20081224	WO 2008-US67204	20080617		
WO 2008157537	A3	20090402				
W: AE, AG, AL,	AM, AO	, AT, AU, AZ	, BA, BB, BG, BH, BR,	BW, BY, BZ,		

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CA, CH, CN, CO, CR, CU, CZ, DE, DK, DM, DO, DZ, EC, EE, EG, ES,
             FI, GB, GD, GE, GH, GM, GT, HN, HR, HU, ID, IL, IN, IS, JP, KE,
             KG, KM, KN, KP, KR, KZ, LA, LC, LK, LR, LS, LT, LU, LY, MA, MD,
             ME, MG, MK, MN, MW, MX, MY, MZ, NA, NG, NI, NO, NZ, OM, PG, PH,
             PL, PT, RO, RS, RU, SC, SD, SE, SG, SK, SL, SM, SV, SY, TJ, TM,
             TN, TR, TT, TZ, UA, UG, US, UZ, VC, VN, ZA, ZM, ZW
         RW: AT, BE, BG, CH, CY, CZ, DE, DK, EE, ES, FI, FR, GB, GR, HR, HU,
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             TR, BF, BJ, CF, CG, CI, CM, GA, GN, GQ, GW, ML, MR, NE, SN, TD,
             TG, BW, GH, GM, KE, LS, MW, MZ, NA, SD, SL, SZ, TZ, UG, ZM, ZW,
             AM, AZ, BY, KG, KZ, MD, RU, TJ, TM, AP, EA, EP, OA
                                            US 2008-140637
     US 20090054450
                          A 1
                                20090226
                                                                    20080617
PRIORITY APPLN. INFO.:
                                            US 2007-944934P
                                                                 Ρ
                                                                    20070619
                                            US 2008-23744P
                                                                 Ρ
                                                                    20080125
                                            US 2008-30778P
                                                                 Ρ
                                                                    20080222
OTHER SOURCE(S):
                         MARPAT 150:77699
GΙ
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$$\mathbb{R}^1$$
 $\mathbb{Z}$ 
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 $\mathbb{Q}$ 

AB Disclosed herein are compds. of formula I and II and their compns. and methods for treating or preventing a variety of disorders and conditions associated with lipid metabolism. The methods generally include administering to

a patient in need thereof a therapeutically effective amount of a pharmaceutical composition comprising one or more fibric acid or statin derivative

compns. alone or in combination with one or more lipid altering agents and/or PDE inhibitors. Compds. of formula I and II wherein R1 is H and halo; R2 is H, halo, (un)substituted cycloalkyl, (un)substituted benzoyl, etc.; Z is O, and (CH2)1-3-O; X is a bond, O, NH, and amino acid residue; R4 is Oh, NO, NO2, amino acid residue, fibric acid residue, guanidine, tetrazolyl, agmatine, etc.; R5 is a statin residue; are claimed. Example compound III was prepared by a general procedure. The invention compds. were evaluated for their ability to treat lipid related disorders.

IT 1094098-94-8P

RL: PAC (Pharmacological activity); PKT (Pharmacokinetics); SPN (Synthetic

preparation); THU (Therapeutic use); BIOL (Biological study); PREP (Preparation); USES (Uses)

(preparation of compds. for treatment, prevention and combination therapy of lipid-related disorders)

RN 1094098-94-8 CAPLUS

Propanoic acid, 2-[4-(4-chlorobenzoyl)phenoxy]-2-methyl-, CN 4-[(1E)-2-(3,5-dihydroxyphenyl)ethenyl]phenyl ester (CA INDEX NAME)

Double bond geometry as shown.

ANSWER 4 OF 43 CAPLUS COPYRIGHT 2009 ACS on STN L3

ACCESSION NUMBER: 2008:1344410 CAPLUS

DOCUMENT NUMBER: 150:472457

TITLE: Chemoenzymatic synthesis and some biological

properties of O-phosphoryl derivatives of

(E)-resveratrol

AUTHOR(S): Aleo, Danilo; Cardile, Venera; Chillemi, Rosa;

Granata, Giuseppe; Sciuto, Sebastiano

CORPORATE SOURCE: Dipartimento di Scienze Chimiche, Universita di

Catania, Catania, 95125, Italy

Natural Product Communications (2008), 3(10), SOURCE:

1693-1700

CODEN: NPCACO; ISSN: 1934-578X

PUBLISHER: Natural Product Inc.

DOCUMENT TYPE: Journal LANGUAGE: English

GΙ

AB 3-0-, 3,5-di-O- and 4'-O-phosphoryl derivs. I [R3 = OPO3H2, R4' = OH, R5 = OH; R3 = OPO3H2, R4' = OH, R5 = OPO3H2; R3 = OH, R4' = OPO3H2, R5 = OH, resp.] of (E)-resveratrol I [R3 = R4' = R5 = OH] were prepared via a chemoenzymic strategy. Acylated resveratrol derivs. were obtained first by exploiting regioselective properties of Pseudomonas cepacea or Candida antarctica lipases in organic solvents. Each acyl-resveratrol was then phosphorylated by the phosphoramidite chemical protocol and in sequence freed of protective groups, affording the desired O-phosphoryl derivative Following UV-absorption spectroscopic investigation on the interaction of the newly synthesized compds. with DNA, 4'-O-phosphorylresveratrol exhibited the best binding affinity. As a result of cytotoxicity tests, 3-O-phosphorylresveratrol was more active than resveratrol against DU 145 prostate cancer cells.

Ι

IT 411233-11-9P

RL: BPN (Biosynthetic preparation); RCT (Reactant); BIOL (Biological study); PREP (Preparation); RACT (Reactant or reagent)

(synthesis of O-phosphoryl derivs. of resveratrol via enzymic acetylation, enzymic hydrolysis and phosphorylation and evaluation of UV absorption properties and cytotoxicity against BPH-1, fibroblasts and prostate cancer cells)

RN 411233-11-9 CAPLUS

CN 1,3-Benzenediol, 5-[(1E)-2-[4-(acetyloxy)phenyl]ethenyl]- (CA INDEX NAME)

Double bond geometry as shown.

REFERENCE COUNT: 23 THERE ARE 23 CITED REFERENCES AVAILABLE FOR THIS RECORD. ALL CITATIONS AVAILABLE IN THE RE FORMAT

L3 ANSWER 5 OF 43 CAPLUS COPYRIGHT 2009 ACS on STN

ACCESSION NUMBER: 2008:1017188 CAPLUS

DOCUMENT NUMBER: 149:471246

TITLE: Heck arylation of styrenes with arenediazonium salts:

short, efficient, and stereoselective synthesis of

resveratrol, DMU-212, and analogues

AUTHOR(S): Moro, Angelica Venturini; Cardoso, Flavio Sega P.;

Correia, Carlos Roque D.

CORPORATE SOURCE: Instituto de Quimica, UNICAMP, Universidade Estadual

de Campinas, Sao Paulo, CEP. 13084-971, Brazil Tetrahedron Letters (2008), 49(39), 5668-5671

CODEN: TELEAY; ISSN: 0040-4039

PUBLISHER: Elsevier Ltd.

DOCUMENT TYPE: Journal LANGUAGE: English

OTHER SOURCE(S): CASREACT 149:471246

AB Short, efficient, and stereoselective synthesis of the trans-stilbenes resveratrol, DMU-212, and analogs of both compds. are described. The synthesis of these important anti-cancer agents feature the palladium catalyzed Heck-Matsuda arylation of styrenes with arenediazonium

tetrafluoroborates.

IT 411233-11-9P

SOURCE:

RL: RCT (Reactant); SPN (Synthetic preparation); PREP (Preparation); RACT (Reactant or reagent)

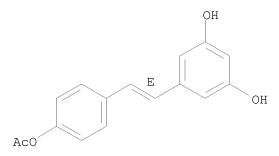
(stereoselective synthesis of resveratrol, DMU-212, and analogs via Heck-Matsuda arylation of styrenes with arenediazonium

tetrafluoroborates)

RN 411233-11-9 CAPLUS

CN 1,3-Benzenediol, 5-[(1E)-2-[4-(acetyloxy)phenyl]ethenyl]- (CA INDEX NAME)

Double bond geometry as shown.



OS.CITING REF COUNT: 3 THERE ARE 3 CAPLUS RECORDS THAT CITE THIS RECORD

(3 CITINGS)

REFERENCE COUNT: 40 THERE ARE 40 CITED REFERENCES AVAILABLE FOR THIS

RECORD. ALL CITATIONS AVAILABLE IN THE RE FORMAT

L3 ANSWER 6 OF 43 CAPLUS COPYRIGHT 2009 ACS on STN

ACCESSION NUMBER: 2008:200736 CAPLUS

DOCUMENT NUMBER: 149:258960

TITLE: Conjugation of resveratrol with RGD and KGD

derivatives

AUTHOR(S): Koutsas, C.; Sarigiannis, Y.; Stavropoulos, G.;

Liakopoulou-Kyriakides, M.

CORPORATE SOURCE: Faculty of Chemical Engineering, Aristotle University

of Thessaloniki, Thessaloniki, 54124, Greece

SOURCE: Protein & Peptide Letters (2007), 14(10), 1014-1020

CODEN: PPELEN; ISSN: 0929-8665

PUBLISHER: Bentham Science Publishers Ltd.

DOCUMENT TYPE: Journal English LANGUAGE:

OTHER SOURCE(S): CASREACT 149:258960

The reaction between Arg-Gly-Asp (RGD) and Lys-Gly-Asp (KGD) derivs. with 3,4',5-trihydroxy-trans-stilbene (resveratrol) was investigated. Knowing that resveratrol, RGD as well as KGD analogs inhibit human platelet aggregation in vitro, it was tempting for us to examine whether their coupling products present enhanced biol. activity. Here, we report on the synthesis and identification of these coupling products. The N-protected peptides were synthesized by solid phase technique, using the 2-chlorotrityl-chloride resin, by the method of carbodiimides. Coupling reactions with resveratrol took place in solution using N, N-dicyclohexylcarbodiimide (DCC) as coupling reagent and 4-dimethylaminopyridine (DMAP) as catalyst. The reaction products were purified by reversed phase HPLC and identified by ESI-MS. The mono-esterified resveratrol derivative was the main (or only) reaction product, whereas the di- and the tri-ester (to a less extent) formation was noticed in some cases.

ΙT 1046808-76-7P 1046808-79-0P 1046808-80-3P RL: SPN (Synthetic preparation); PREP (Preparation) (conjugation of resveratrol with RGD and KGD derivs. for preparation of platelet aggregation inhibitors)

1046808-76-7 CAPLUS RN

L-Aspartic acid, N2-acetyl-N5-[[[(2,3-dihydro-2,2,4,6,7-pentamethyl-5benzofuranyl)sulfonyl]amino]iminomethyl]-L-ornithylglycyl-, 31-[4-[(1E)-2-(3,5-dihydroxyphenyl)ethenyl]phenyl] 34-(1,1-dimethylethyl)ester (CA INDEX NAME)

Absolute stereochemistry. Double bond geometry as shown.

PAGE 1-B

RN 1046808-79-0 CAPLUS

CN L-Aspartic acid, N5-[[[(2,3-dihydro-2,2,4,6,7-pentamethyl-5-benzofuranyl)sulfonyl]amino]iminomethyl]-N2-[(9H-fluoren-9-ylmethoxy)carbonyl]-L-ornithylglycyl-,
31-[4-[(1E)-2-(3,5-dihydroxyphenyl)ethenyl]phenyl] 34-(1,1-dimethylethyl)ester (CA INDEX NAME)

Absolute stereochemistry. Double bond geometry as shown.

Page 1308/20/200920/08/2009 < Page 1316:35>

PAGE 1-B

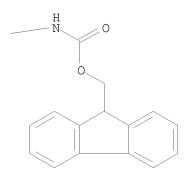
PAGE 2-A

RN 1046808-80-3 CAPLUS

CN L-Aspartic acid, N6-[(1,1-dimethylethoxy)carbonyl]-N2-[(9H-fluoren-9-ylmethoxy)carbonyl]-L-lysylglycyl-,
31-[4-[(1E)-2-(3,5-dihydroxyphenyl)ethenyl]phenyl] 34-(1,1-dimethylethyl)ester (CA INDEX NAME)

Absolute stereochemistry. Double bond geometry as shown.

PAGE 1-B



REFERENCE COUNT: 23 THERE ARE 23 CITED REFERENCES AVAILABLE FOR THIS RECORD. ALL CITATIONS AVAILABLE IN THE RE FORMAT

L3 ANSWER 7 OF 43 CAPLUS COPYRIGHT 2009 ACS on STN

ACCESSION NUMBER: 2008:27416 CAPLUS

DOCUMENT NUMBER: 148:144915

TITLE: Preparation of carotenoid ester analogs or derivatives

for the inhibition and amelioration of ischemic

reperfusion injury

INVENTOR(S): Lockwood, Samuel Fournier; O'Malley, Sean; Watumull,

David G.; Hix, Laura M.; Jackson, Henry; Nadolski,

Geoff

PATENT ASSIGNEE(S): Cardax Pharmaceuticals, Inc., USA

SOURCE: U.S., 137pp., Cont.-in-part of U.S. Ser. No. 629,538.

CODEN: USXXAM

DOCUMENT TYPE: Patent LANGUAGE: English

FAMILY ACC. NUM. COUNT: 16

PATEN	IT NO.	KIND	DATE	APE	PLICATION NO.	DATE
US 73	17008	B2	20080108	US	2004-793703	20040304
US 20	050037995	A1	20050217			
US 20	040162329	A1	20040819	US	2003-629538	20030729
US 71	.45025	В2	20061205			
US 20	050065097	A1	20050324	US	2004-793696	20040304
US 20	050075337	A1	20050407	US	2004-793702	20040304
US 20	060229446	A1	20061012	US	2006-357897	20060217

PRIORITY APPLN. INFO.:

US 2002-399194P Р 20020729 Ρ 20030505 US 2003-467973P P 20030522 US 2003-472831P US 2003-473741P Ρ 20030528 US 2003-485304P Р 20030703 US 2003-629538 A2 20030729

OTHER SOURCE(S): MARPAT 148:144915

Carotenoid ester analogs or derivs. are prepared for the treatment of ischemic reperfusion injury. The carotenoid analog may include a conjugated polyene with 7 to 14 double bonds, and may include a cyclic ring including at least one substituent with an ester functionality. The method may include administering to the subject an effective amount of a pharmaceutically acceptable formulation of the carotenoid analog. The subject may be administered a carotenoid analog or derivative, either alone or in combination with another carotenoid analog or derivative, or co-antioxidant formulation. Thus, disodium astaxanthin disuccinate (mixture of stereoisomers) was prepared, and increased assembly of Cx43 in treated murine 10T1/2 cells.

ΤT 835885-11-5P 835885-12-6P

> RL: PAC (Pharmacological activity); SPN (Synthetic preparation); THU (Therapeutic use); BIOL (Biological study); PREP (Preparation); USES

(preparation of carotenoid esters for treatment of ischemic reperfusion injury)

835885-11-5 CAPLUS RN

CN  $\beta$ ,  $\beta$ -Carotene-4, 4'-dione,

3-(3-carboxy-1-oxopropoxy)-3'-[4-[4-[(1E)-2-(3,5-

dihydroxyphenyl)ethenyl|phenoxy|-1,4-dioxobutoxy|- (CA INDEX NAME)

Double bond geometry as shown.

PAGE 1-B Me. Ме Ε Me Ме Me Ме

PAGE 1-C

\_CO2H

835885-12-6 CAPLUS RN

 $\beta$ ,  $\beta$ -Carotene-4, 4'-dione, CN 3,3'-bis[4-[4-[(1E)-2-(3,5-dihydroxyphenyl)ethenyl]phenoxy]-1,4dioxobutoxy] - (CA INDEX NAME)

Double bond geometry as shown.

PAGE 1-C

PAGE 2-A

PAGE 2-B

PAGE 2-C

PAGE 3-A

OS.CITING REF COUNT: 6 THERE ARE 6 CAPLUS RECORDS THAT CITE THIS RECORD

(15 CITINGS)

REFERENCE COUNT: 470 THERE ARE 470 CITED REFERENCES AVAILABLE FOR

THIS RECORD. ALL CITATIONS AVAILABLE IN THE RE

FORMAT

L3 ANSWER 8 OF 43 CAPLUS COPYRIGHT 2009 ACS on STN

ACCESSION NUMBER: 2007:1064480 CAPLUS

DOCUMENT NUMBER: 147:371217

TITLE: Cosmetic, pharmaceutical, food and veterinary

compositions whose activating action on genes of sirtuin type makes it possible to delay ageing in

mammals and the harmful effects thereof

INVENTOR(S): Fructus, Alain
PATENT ASSIGNEE(S): Af Consulting, Fr.

SOURCE: PCT Int. Appl., 17pp.

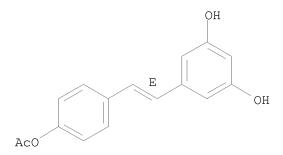
CODEN: PIXXD2

DOCUMENT TYPE: Patent LANGUAGE: French

FAMILY ACC. NUM. COUNT: 1

PAT	PATENT NO.				KIND DATE				APPLICATION NO.						DATE			
 WO	2007	1048	 67		A2	_	2007	0920	,	 WO 2	 007-	 FR44	 9		2.	 0070.	 315	
	2007104867 W: AE, AG, AI			A3		2007			We 2007 Titli					20010313				
	W:	ΑE,	ΑG,	AL,	ΑM,	ΑT,	ΑU,	ΑZ,	ΒA,	BB,	BG,	BR,	BW,	BY,	BZ,	CA,	CH,	
		CN,	CO,	CR,	CU,	CZ,	DE,	DK,	DM,	DZ,	EC,	EE,	EG,	ES,	FI,	GB,	GD,	
		GE,	GH,	GM,	GT,	HN,	HR,	HU,	ID,	IL,	IN,	IS,	JP,	ΚE,	KG,	ΚM,	KN,	
		KP,	KR,	KΖ,	LA,	LC,	LK,	LR,	LS,	LT,	LU,	LY,	MA,	MD,	MG,	MK,	MN,	
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RU, SC, SD, SE, SG, SK, SL, SM, SV, SY, TJ, TM, TN, TR, TT, TZ,
             UA, UG, US, UZ, VC, VN, ZA, ZM, ZW
         RW: AT, BE, BG, CH, CY, CZ, DE, DK, EE, ES, FI, FR, GB, GR, HU, IE,
             IS, IT, LT, LU, LV, MC, MT, NL, PL, PT, RO, SE, SI, SK, TR, BF,
             BJ, CF, CG, CI, CM, GA, GN, GQ, GW, ML, MR, NE, SN, TD, TG, BW,
             GH, GM, KE, LS, MW, MZ, NA, SD, SL, SZ, TZ, UG, ZM, ZW, AM, AZ,
             BY, KG, KZ, MD, RU, TJ, TM, AP, EA, EP, OA
     FR 2898493
                         Α1
                               20070921
                                           FR 2006-2294
                                                                    20060316
     FR 2898493
                          В1
                                20080808
PRIORITY APPLN. INFO.:
                                            FR 2006-2294
                                                                A 20060316
    Compns. for cosmetic, pharmaceutical, food or veterinary use, intended to
     delay aging in mammals through their activating action on genes of sirtuin
     type, which genes are naturally activated during calorie restriction.
     These compns. are characterized in that they contain one or more oligomers
     of resveratrol, and more particularly \epsilon-viniferin, and/or
     glucosides and/or the corresponding esters of these oligomers and/or the
     natural exts. containing them. It has been shown, on several living species,
     that calorie restriction extends the lifespan and reduces the deleterious
     effects of aging. Studies on primates and on human populations have
     corroborated these results. It has also been shown that calorie
     restriction activates certain genes called sirtuins (silent information
     regulator). Consequently, natural or synthetic ingredients or mols. which
     activate genes and make it possible to do without a difficult long-term
     calorie restriction have been sought. Resveratrol or
     3,4',5-trihydroxystilbene is known to activate certain genes of the
     sirtuin family. The present invention describes, for the first time, the
     activation of these genes by oligomers of resveratrol, in particular
     P\epsilon-viniferin. A specific test has been carried out and shows that
     P\varepsilon-viniferin and an extract of vine shoot containing it, and also other
     oligomers of resveratrol, completely activate the SIRTl enzyme. Cosmetic,
     pharmaceutical, food and veterinary compns. are described by way of
     examples. The cosmetic composition described, and which contains an extract of
     vine shoot, was subjected to tests which showed that it is completely
     innocuous on human skin. It was also subjected to a test on humans
     showing its effectiveness in decreasing the effects of aging. The
     invention claims cosmetic, pharmaceutical, food and veterinary compns.
     whose activating action on sirtuin genes makes it possible to delay aging
     in mammals and combat the harmful effects thereof. An antiaging cosmetic
     contained \varepsilon-viniferin pentaacetate 500 mg, polyvinylpyrrolidone
     0.25 g, and magnesium starate 0.25 g.
ΙT
     411233-11-9
     RL: FFD (Food or feed use); PAC (Pharmacological activity); THU
     (Therapeutic use); BIOL (Biological study); USES (Uses)
        (cosmetic, pharmaceutical, food and veterinary compns. whose activating
        action on genes of sirtuin type makes it possible to delay aging in
        mammals and harmful effects thereof)
     411233-11-9 CAPLUS
RN
     1,3-Benzenediol, 5-[(1E)-2-[4-(acetyloxy)phenyl]ethenyl]- (CA INDEX NAME)
CN
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ANSWER 9 OF 43 CAPLUS COPYRIGHT 2009 ACS on STN

2007:938725 CAPLUS ACCESSION NUMBER:

DOCUMENT NUMBER: 148:4688

Design and synthesis of compounds that extend yeast TITLE:

replicative lifespan. [Erratum to document cited in

CA147:005220]

Yang, Hongying; Baur, Joseph A.; Chen, Allen; Miller, AUTHOR(S):

> Christine; Adams, Jeffrey K.; Kisielewski, Anne; Howitz, Konrad T.; Zipkin, Robert E.; Sinclair, David

CORPORATE SOURCE: Department of Pathology, Harvard Medical School,

Boston, MA, 02115, USA

Aging Cell (2007), 6(4), 593 SOURCE:

CODEN: ACGECQ; ISSN: 1474-9718

PUBLISHER: Blackwell Publishing Ltd.

DOCUMENT TYPE: Journal LANGUAGE: English

AΒ There were some author names that were not included in the author list; The correct author list and affiliations are provided. In addition the authors have a supplementary Figure S1 available, titled "Figure S1: Synthetic schemes for synthesis of resveratrol derivatives 1-5". This

material is available as part of the online article from:

http://www.blackwell-synergy.com/doi/abs/10.1111/j.1474-9726.2007.00317.x.

ΙT 411233-11-9P

> RL: ADV (Adverse effect, including toxicity); PAC (Pharmacological activity); PRP (Properties); SPN (Synthetic preparation); THU (Therapeutic use); BIOL (Biological study); PREP (Preparation); USES (Uses)

(design and synthesis of compds. that extend yeast replicative lifespan (Erratum))

411233-11-9 CAPLUS RN

1,3-Benzenediol, 5-[(1E)-2-[4-(acetyloxy)phenyl]ethenyl]- (CA INDEX NAME) CN

REFERENCE COUNT: 1 THERE ARE 1 CITED REFERENCES AVAILABLE FOR THIS RECORD. ALL CITATIONS AVAILABLE IN THE RE FORMAT

L3 ANSWER 10 OF 43 CAPLUS COPYRIGHT 2009 ACS on STN

ACCESSION NUMBER: 2007:254816 CAPLUS

DOCUMENT NUMBER: 147:5220

TITLE: Design and synthesis of compounds that extend yeast

replicative lifespan

AUTHOR(S): Yang, Hongying; Baur, Joseph A.; Chen, Allen; Miller,

Christine; Sinclair, David A.

CORPORATE SOURCE: Department of Pathology, Harvard Medical School,

Boston, MA, 02115, USA

SOURCE: Aging Cell (2007), 6(1), 35-43

CODEN: ACGECQ; ISSN: 1474-9718

PUBLISHER: Blackwell Publishing Ltd.

DOCUMENT TYPE: Journal LANGUAGE: English

This past decade has seen the identification of numerous conserved genes that extend lifespan in diverse species, yet the number of compds. that extend lifespan is relatively small. A class of compds. called STACs, which were identified as activators of Sir2/SIRT1 NAD+-dependent deacetylases, extend the lifespans of multiple species in a Sir2-dependent manner and can delay the onset of age-related diseases such as cancer, diabetes and neuro-degeneration in model organisms. Plant-derived STACs such as fisetin and resveratrol have several liabilities, including poor stability and relatively low potency as SIRT1 activators. To develop improved STACs, stilbene derivs. with modifications at the 4' position of the B ring were synthesized using a Horner-Emmons-based synthetic route or by hydrolyzing deoxyrhapontin. Here, we describe synthetic STACs with lower toxicity toward human cells, and higher potency with respect to SIRT1 activation and lifespan extension in Saccharomyces cerevisiae. These studies show that it is possible to improve upon naturally occurring STACs based on a number of criteria including lifespan extension.

IT 411233-11-9P

RL: ADV (Adverse effect, including toxicity); PAC (Pharmacological activity); PRP (Properties); SPN (Synthetic preparation); THU (Therapeutic use); BIOL (Biological study); PREP (Preparation); USES (Uses)

(design and synthesis of compds. that extend yeast replicative lifespan)

RN 411233-11-9 CAPLUS

CN 1,3-Benzenediol, 5-[(1E)-2-[4-(acetyloxy)phenyl]ethenyl]- (CA INDEX NAME)

Aco E OH

OS.CITING REF COUNT: 17 THERE ARE 17 CAPLUS RECORDS THAT CITE THIS

RECORD (17 CITINGS)

REFERENCE COUNT: 59 THERE ARE 59 CITED REFERENCES AVAILABLE FOR THIS RECORD. ALL CITATIONS AVAILABLE IN THE RE FORMAT

L3 ANSWER 11 OF 43 CAPLUS COPYRIGHT 2009 ACS on STN

ACCESSION NUMBER: 2007:61185 CAPLUS

DOCUMENT NUMBER: 146:169320

TITLE: Compositions for treating or preventing obesity,

insulin resistance and mitochondrial-associated

disorders

INVENTOR(S): Milburn, Michael; Milne, Jill; Auwerx, Johan; Argmann,

Carmen; Lagouge, Marie; Dipp, Michelle

PATENT ASSIGNEE(S): Sirtris Pharmaceuticals, Inc., USA

SOURCE: PCT Int. Appl., 337pp.

CODEN: PIXXD2

DOCUMENT TYPE: Patent LANGUAGE: English

FAMILY ACC. NUM. COUNT: 5

PA:	PATENT NO.				KIN	)	DATE 			APPLICATION NO.					DATE		
WO WO	2007 2007							,	wo 2	006-	US26	272		2	0060	707	
						AT,	AU,	AZ,	BA,	BB,	BG,	BR,	BW,	BY,	BZ,	CA,	CH,
							DE,										
							HU,										
		KR,	KΖ,	LA,	LC,	LK,	LR,	LS,	LT,	LU,	LV,	LY,	MA,	MD,	MG,	MK,	MN,
		MW,	MX,	MZ,	NA,	NG,	NI,	NO,	NZ,	OM,	PG,	PH,	PL,	PT,	RO,	RS,	RU,
	SC, SD, SE, SG, SK, S				SL,	SM,	SY,	ΤJ,	TM,	TN,	TR,	TT,	TZ,	UA,	UG,		
		US, UZ, VC, VN, ZA, ZM, Z				ZW											
	RW:	ΑT,	BE,	BG,	CH,	CY,	CZ,	DE,	DK,	EE,	ES,	FΙ,	FR,	GB,	GR,	ΗU,	ΙE,
		IS,	ΙT,	LT,	LU,	LV,	MC,	NL,	PL,	PT,	RO,	SE,	SI,	SK,	TR,	BF,	ВJ,
		CF,	CG,	CI,	CM,	GA,	GN,	GQ,	GW,	$\mathrm{ML}_{,}$	MR,	NE,	SN,	TD,	ΤG,	BW,	GH,
		GM,	KΕ,	LS,	MW,	MZ,	NA,	SD,	SL,	SZ,	TZ,	UG,	ZM,	ZW,	AM,	ΑZ,	BY,
							TM,										
US	2007	0149	466		A1		2007	0628	,	US 2	006-	3742	95		2	0060	316
AU	2006	2694	59		A1		2007	0118		AU 2	006-	2694	59		2	0060	707
CA	2613	141			A1		2007	0118	1	CA 2	006-	2613	141		2	0060	707
EΡ	EP 1898897 A2 200803					0319		EP 2	006-	7864.	29		2	0060	707		
	R: AT, BE, BG, CH, CY, CZ,				DE,	DK,	EE,	ES,	FΙ,	FR,	GB,	GR,	HU,	ΙE,			
	IS, IT, LI,			LT,	LU,	LV,	MC,	NL,	PL,	PT,	RO,	SE,	SI,	SK,	TR		
JP 2009500357 T				2009	0108	1	JP 2	008-	5197.	34		20060707					
CN 101257897					Α		2008	0903	1	CN 2	006-	8003	3014		20080307		

PRIORITY APPLN. INFO.:

US 2005-697443P P 20050707
US 2005-736528P P 20051114
US 2005-753606P P 20051223
US 2006-783802P P 20060316
WO 2006-US26272 W 20060707

AB Provided herein are methods and compns. for treating or preventing metabolic disorders, such as obesity and diabetes. Methods may comprise modulating the activity or level of a sirtuin, such as SIRT1 or Sir2. Exemplary methods comprise contacting a cell with a sirtuin activating compound, such as a flavone, stilbene, flavanone, isoflavone, catechin, chalcone, tannin or anthocyanidin, or an inhibitory compound, such as nicotinamide. Resveratrol increases the PGC-1 protein deacetylation.

IT 411233-11-9, BML 221

RL: PAC (Pharmacological activity); THU (Therapeutic use); BIOL (Biological study); USES (Uses)

(compns. for treating or preventing obesity and insulin resistance and mitochondrial-associated disorders)

RN 411233-11-9 CAPLUS

CN 1,3-Benzenediol, 5-[(1E)-2-[4-(acetyloxy)phenyl]ethenyl]- (CA INDEX NAME)

Double bond geometry as shown.

OS.CITING REF COUNT: 8 THERE ARE 8 CAPLUS RECORDS THAT CITE THIS RECORD (8 CITINGS)

L3 ANSWER 12 OF 43 CAPLUS COPYRIGHT 2009 ACS on STN

ACCESSION NUMBER: 2007:5410 CAPLUS

DOCUMENT NUMBER: 146:176175

TITLE: Biological Activity of Acetylated Phenolic Compounds AUTHOR(S): Fragopoulou, Elizabeth; Nomikos, Tzortzis; Karantonis,

Haralabos C.; Apostolakis, Constantinos; Pliakis, Emmanuel; Samiotaki, Martina; Panayotou, George;

Antonopoulou, Smaragdi

CORPORATE SOURCE: Department of Science of Nutrition-Dietetics,

Harokopio University of Athens, Athens, 17671, Greece

SOURCE: Journal of Agricultural and Food Chemistry (2007),

55(1), 80-89

CODEN: JAFCAU; ISSN: 0021-8561

PUBLISHER: American Chemical Society

DOCUMENT TYPE: Journal LANGUAGE: English

AB In recent years an effort has been made to isolate and identify biol. active compds. that are included in the Mediterranean diet. The existence of naturally occurring acetylated phenolics, as well as studies with synthetic ones, provide evidence that acetyl groups could be correlated

with their biol. activity. Platelet activating factor (PAF) is implicated in atherosclerosis, whereas its inhibitors seem to play a protective role against cardiovascular disease. The aim of this study was to examine the biol. activity of resveratrol and tyrosol and their acetylated derivs. as inhibitors of PAF-induced washed rabbit platelet aggregation. Acetylation of resveratrol and tyrosol was performed, and separation was achieved by HPLC. Acetylated derivs. were identified by neg. mass spectrometry. The data showed that tyrosol and its monoacetylated derivs. act as PAF inhibitors, whereas diacetylated derivs. induce platelet aggregation. Resveratrol and its mono- and triacetylated derivs. exert similar inhibitory activity, whereas the diacetylated ones are more potent inhibitors. In conclusion, acetylated phenolics exert the same or even higher antithrombotic activity compared to the biol. activity of the initial one.

IT 411233-11-9P

RL: PAC (Pharmacological activity); PRP (Properties); PUR (Purification or recovery); SPN (Synthetic preparation); THU (Therapeutic use); BIOL (Biological study); PREP (Preparation); USES (Uses)

(antithrombotic activity of acetylated phenolic compds.)

RN 411233-11-9 CAPLUS

CN 1,3-Benzenediol, 5-[(1E)-2-[4-(acetyloxy)phenyl]ethenyl]- (CA INDEX NAME)

Double bond geometry as shown.

OS.CITING REF COUNT: 9 THERE ARE 9 CAPLUS RECORDS THAT CITE THIS RECORD

(9 CITINGS)

REFERENCE COUNT: 37 THERE ARE 37 CITED REFERENCES AVAILABLE FOR THIS RECORD. ALL CITATIONS AVAILABLE IN THE RE FORMAT

L3 ANSWER 13 OF 43 CAPLUS COPYRIGHT 2009 ACS on STN

ACCESSION NUMBER: 2006:768911 CAPLUS

DOCUMENT NUMBER: 145:181017

TITLE: Strategies for designing drugs that target the sir2

family of enzymes

INVENTOR(S): Wolberger, Cynthia; Avalos, Jose Luis PATENT ASSIGNEE(S): The Johns Hopkins University, USA

SOURCE: PCT Int. Appl., 75 pp.

CODEN: PIXXD2

DOCUMENT TYPE: Patent LANGUAGE: English

FAMILY ACC. NUM. COUNT: 1

PATENT NO.	KIND	DATE	APPLICATION NO.	DATE
WO 2006081329	A2	20060803	WO 2006-US2713	20060125

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WO 2006081329
                                20090430
                          А3
            AE, AG, AL, AM, AT, AU, AZ, BA, BB, BG, BR, BW, BY, BZ, CA, CH,
             CN, CO, CR, CU, CZ, DE, DK, DM, DZ, EC, EE, EG, ES, FI, GB, GD,
             GE, GH, GM, HR, HU, ID, IL, IN, IS, JP, KE, KG, KM, KN, KP, KR,
             KZ, LC, LK, LR, LS, LT, LU, LV, LY, MA, MD, MG, MK, MN, MW, MX,
             MZ, NA, NG, NI, NO, NZ, OM, PG, PH, PL, PT, RO, RU, SC, SD, SE,
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             VN, YU, ZA, ZM, ZW
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             IS, IT, LT, LU, LV, MC, NL, PL, PT, RO, SE, SI, SK, TR, BF, BJ,
             CF, CG, CI, CM, GA, GN, GQ, GW, ML, MR, NE, SN, TD, TG, BW, GH,
             GM, KE, LS, MW, MZ, NA, SD, SL, SZ, TZ, UG, ZM, ZW, AM, AZ, BY,
             KG, KZ, MD, RU, TJ, TM, AP, EA, EP, OA
     EP 1844157
                             20071017 EP 2006-733906
                                                                   20060125
                         Α2
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             IS, IT, LI, LT, LU, LV, MC, NL, PL, PT, RO, SE, SI, SK, TR, AL,
             BA, HR, MK, YU
     US 20090012130
                                20090108
                                            US 2008-883015
                          Α1
                                                                   20080828
PRIORITY APPLN. INFO.:
                                            US 2005-646792P
                                                                Ρ
                                                                   20050125
                                            WO 2006-US2713
                                                                W 20060125
AB
     The invention describes methods for identifying compds. that modulate the
     activity of Sir2 enzymes. Sir2 enzymes form a unique class Of
     NAD+-dependent deacetylases required for diverse biol. processes including
     transcriptional silencing, regulation of apoptosis, fat mobilization, and
     lifespan regulation. Sir2 activity is regulated by nicotinamide, a
     non-competitive inhibitor that promotes a base exchange reaction at the
     expense of deacetylation.
     411233-11-9
                    411233-11-9D, analogs and derivs.
ΤT
     RL: PAC (Pharmacological activity); THU (Therapeutic use); BIOL
     (Biological study); USES (Uses)
        (strategies for designing drugs that target sir2 family of enzymes)
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Double bond geometry as shown.

411233-11-9 CAPLUS

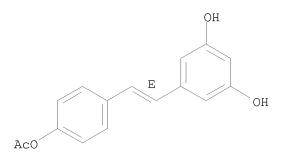
RN

CN

RN 411233-11-9 CAPLUS

CN 1,3-Benzenediol, 5-[(1E)-2-[4-(acetyloxy)phenyl]ethenyl]- (CA INDEX NAME)

1,3-Benzenediol, 5-[(1E)-2-[4-(acetyloxy)phenyl]ethenyl]- (CA INDEX NAME)



L3 ANSWER 14 OF 43 CAPLUS COPYRIGHT 2009 ACS on STN

ACCESSION NUMBER: 2006:734482 CAPLUS

DOCUMENT NUMBER: 145:202956

TITLE: Methods and compositions using sirtuin modulators for

treating flushing and drug-induced weight gain

INVENTOR(S): Sinclair, David; Milburn, Michael; Langer, Robert S.;

Westphal, Christoph H.

PATENT ASSIGNEE(S): Sirtris Pharmaceuticals, Inc., USA

SOURCE: PCT Int. Appl., 268 pp.

CODEN: PIXXD2

DOCUMENT TYPE: Patent LANGUAGE: English

FAMILY ACC. NUM. COUNT: 2

PATENT INFORMATION:

PA:	PATENT NO.					D	DATE			APPL	ICAT	ION I	. O <i>V</i>		D	CA, CH, GB, GD, KP, KR, MW, MX, SD, SE, UZ, VC, HU, IE, BF, BJ, BW, GH, AZ, BY, 0060120 0060120 0060120		
	2006 2006					20060727 20070322			WO 2	006-	JS22	67		2	0060	120		
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		CF, GM,	CG, KE,	CI, LS,	CM,	GA, MZ,	GN, NA,	GQ,	GW,	ML,	MR,	NE,	SN,	TD,	TG,	BW,	GH,	
CA	2595	486			A1		2006	0727		CA 2	006-	2595	486		2	0060	120	
	1841	415			A2		2007	1010		EP 2	006-	7192	16		2	0060	120	
	R:	IS,		LI,	LT,		CZ, LV,											
RIORIT	JP 2008528510 ORITY APPLN. INFO.:			.:						US 2 US 2 WO 2	005- 005- 006-	6459 6459	16P 62P 67	] [ [	P 2 P 2 W 2	0050 0060	120 121 120	

AB The invention provides methods and compns. for treating and/or preventing

flushing and/or weight gain. The methods may comprise modulating the activity or level of a sirtuin, such as SIRT1 or Sir2. Exemplary embodiments include methods and compns. for counteracting drug-induced weight gain and/or drug-induced flushing by administering a sirtuin-activating compound Compds. of the invention include e.g.resveratrol analogs (preparation described).

IT 411233-11-9, BML 221

RL: PAC (Pharmacological activity); THU (Therapeutic use); BIOL (Biological study); USES (Uses)

(sirtuin modulators for treating flushing and drug-induced weight gain)

RN 411233-11-9 CAPLUS

CN 1,3-Benzenediol, 5-[(1E)-2-[4-(acetyloxy)phenyl]ethenyl]- (CA INDEX NAME)

Double bond geometry as shown.

OS.CITING REF COUNT: 5 THERE ARE 5 CAPLUS RECORDS THAT CITE THIS RECORD

(5 CITINGS)

REFERENCE COUNT: 8 THERE ARE 8 CITED REFERENCES AVAILABLE FOR THIS

RECORD. ALL CITATIONS AVAILABLE IN THE RE FORMAT

L3 ANSWER 15 OF 43 CAPLUS COPYRIGHT 2009 ACS on STN

ACCESSION NUMBER: 2006:710888 CAPLUS

DOCUMENT NUMBER: 145:180995

TITLE: Novel compositions for preventing and treating neurodegenerative and blood coagulation disorders

INVENTOR(S): Milburn, Michael; Milne, Jill; Westphal, Christopher

H.; Normington, Karl D.; Fujii, Jennifer; Dipp,

Michelle; Elliot, Peter

PATENT ASSIGNEE(S): Sirtris Pharmaceuticals, Inc., USA

SOURCE: PCT Int. Appl., 294 pp.

CODEN: PIXXD2

DOCUMENT TYPE: Patent LANGUAGE: English

FAMILY ACC. NUM. COUNT: 5

PATENT NO.	KIND DATE	APPLICATION NO.	DATE
WO 2006076681	A2 200607		20060113
WO 2006076681	A3 200706		20000113
W: AE, AG, A	, AM, AT, AU, A	Z, BA, BB, BG, BR, BW,	BY, BZ, CA, CH,
CN, CO, C	R, CU, CZ, DE, Di	K, DM, DZ, EC, EE, EG,	ES, FI, GB, GD,
GE, GH, G	I, HR, HU, ID, I	L, IN, IS, JP, KE, KG,	KM, KN, KP, KR,
KZ, LC, L	C, LR, LS, LT, L	J, LV, LY, MA, MD, MG,	MK, MN, MW, MX,
MZ, NA, N	G, NI, NO, NZ, O	M, PG, PH, PL, PT, RO,	RU, SC, SD, SE,

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SG, SK, SL, SM, SY, TJ, TM, TN, TR, TT, TZ, UA, UG, US, UZ, VC,
             VN, YU, ZA, ZM, ZW
         RW: AT, BE, BG, CH, CY, CZ, DE, DK, EE, ES, FI, FR, GB, GR, HU, IE,
             IS, IT, LT, LU, LV, MC, NL, PL, PT, RO, SE, SI, SK, TR, BF, BJ,
             CF, CG, CI, CM, GA, GN, GQ, GW, ML, MR, NE, SN, TD, TG, BW, GH,
             GM, KE, LS, MW, MZ, NA, SD, SL, SZ, TZ, UG, ZM, ZW, AM, AZ, BY,
             KG, KZ, MD, RU, TJ, TM, AP, EA, EP, OA
     AU 2006204699
                                20060720
                                           AU 2006-204699
                          Α1
     CA 2595159
                                20060720
                                            CA 2006-2595159
                                                                    20060113
                          Α1
     US 20060276393
                                20061207
                                            US 2006-332056
                          Α1
                                                                    20060113
                                20071107
     EP 1850840
                          Α2
                                            EP 2006-718495
                                                                    20060113
            AT, BE, BG, CH, CY, CZ, DE, DK, EE, ES, FI, FR, GB, GR, HU, IE,
             IS, IT, LI, LT, LU, LV, MC, NL, PL, PT, RO, SE, SI, SK, TR, AL,
             BA, HR, MK, YU
     JP 2008527002
                                20080724
                                            JP 2007-551449
                          Τ
                                                                    20060113
PRIORITY APPLN. INFO.:
                                            US 2005-643921P
                                                                 Ρ
                                                                    20050113
                                            US 2005-667179P
                                                                 Ρ
                                                                    20050330
                                            US 2005-692785P
                                                                 Ρ
                                                                    20050622
                                            US 2005-736528P
                                                                 Ρ
                                                                    20051114
                                            US 2005-753606P
                                                                 Ρ
                                                                    20051223
                                                                 W 20060113
                                            WO 2006-US1428
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- AB Provided herein are methods and compns. for treating or preventing neurodegenerative disorders or blood coagulation disorders. Methods may comprise modulating the activity or level of a sirtuin, such as SIRT1 or Sir2. Exemplary methods comprise contacting a cell with a sirtuin activating compound, such as a flavone, stilbene, flavanone, isoflavone, catechin, chalcone, tannin or anthocyanidin; or an inhibitory compound, such as nicotinamide.
- IT 411233-11-9P, BML-221

RL: PAC (Pharmacological activity); SPN (Synthetic preparation); THU (Therapeutic use); BIOL (Biological study); PREP (Preparation); USES (Uses)

(compns. for preventing and treating neurodegenerative and blood coagulation disorders)

- RN 411233-11-9 CAPLUS
- CN 1,3-Benzenediol, 5-[(1E)-2-[4-(acetyloxy)phenyl]ethenyl]- (CA INDEX NAME)

Double bond geometry as shown.

OS.CITING REF COUNT: 2 THERE ARE 2 CAPLUS RECORDS THAT CITE THIS RECORD (2 CITINGS)

L3 ANSWER 16 OF 43 CAPLUS COPYRIGHT 2009 ACS on STN ACCESSION NUMBER: 2006:693817 CAPLUS

DOCUMENT NUMBER: 145:314709

10/597,335 08/20/2009

STN: SEARCH

Synthesis of polyhydroxylated ester analogs of the TITLE:

stilbene resveratrol using decarbonylative Heck

couplings

Andrus, Merritt B.; Liu, Jing AUTHOR(S):

Ι

CORPORATE SOURCE: Department of Chemistry and Biochemistry, Brigham

Young University, Provo, UT, 84602, USA

SOURCE: Tetrahedron Letters (2006), 47(32), 5811-5814

CODEN: TELEAY; ISSN: 0040-4039

PUBLISHER: Elsevier B.V.

DOCUMENT TYPE: Journal LANGUAGE: English

OTHER SOURCE(S): CASREACT 145:314709

GΙ

AΒ Levulinate- and chloroacetate-protected 3,5-dihydroxybenzoyl chlorides were coupled with styrenes, H2C:CHC6H4X-4 (X = OH, OAc, OCOCH2C1, OCOCH2CH2COMe, F), to give hydroxylated stilbenes, analogs of resveratrol I (X = Y = Z = OH), an important antioxidant disease preventative agent isolated from grape skins and other dietary sources. Levulinate and chloroacetate protecting groups allowed for the selective production of monoand di-acetate variations under palladium-N-heterocyclic carbene (NHC) catalyzed decarbonylative coupling conditions. Fluorinated analogs, such as I (X = F, Y = Z = OH; X = Y = OH, Z = F; X = Y = F, Z = OH; X = Y = Z = OH; X = ZF), were also produced using Heck conditions with bromofluorobenzenes. Human leukemia HL-60 cell assays showed the 4'-acetoxy variant I (X = Y = OH, Z = OAc) possessed improved activity (ED50 = 17  $\mu$ M) relative to resveratrol (ED50 = 24  $\mu$ M).

ΤТ 411233-11-9P

> RL: PAC (Pharmacological activity); SPN (Synthetic preparation); BIOL (Biological study); PREP (Preparation)

(preparation of ester analogs of resveratrol using decarbonylative Heck coupling reaction, and evaluation of their anticancer activity in leukemia cells)

411233-11-9 CAPLUS RN

1,3-Benzenediol, 5-[(1E)-2-[4-(acetyloxy)phenyl]ethenyl]- (CA INDEX NAME) CN

OS.CITING REF COUNT: 8 THERE ARE 8 CAPLUS RECORDS THAT CITE THIS RECORD

(8 CITINGS)

REFERENCE COUNT: 46 THERE ARE 46 CITED REFERENCES AVAILABLE FOR THIS

RECORD. ALL CITATIONS AVAILABLE IN THE RE FORMAT

L3 ANSWER 17 OF 43 CAPLUS COPYRIGHT 2009 ACS on STN

ACCESSION NUMBER: 2006:491764 CAPLUS

DOCUMENT NUMBER: 145:1047

TITLE: Methods and compositions using sirtuin modulators for

treating or preventing obesity and insulin resistance

disorders

INVENTOR(S): Sinclair, David A.; Alexander-Bridges, Maria

PATENT ASSIGNEE(S): President and Fellows of Harvard College, USA; The

General Hospital Corporation

SOURCE: U.S. Pat. Appl. Publ., 154 pp., Cont.-in-part of U.S.

Ser. No. 27,779.

CODEN: USXXCO

DOCUMENT TYPE: Patent LANGUAGE: English

FAMILY ACC. NUM. COUNT: 2

PATENT NO.	KIND DA	CE APPL	ICATION NO.	DATE		
US 20060111435 US 20050171027 AU 2006266125 CA 2613636 WO 2007005453 WO 2007005453	A1 200 A1 200 A1 200 A2 200	050804 US 20 070111 AU 20 070111 CA 20	005-174000 004-27779 006-266125 006-2613636 006-US25138	20050701 20041229 20060628 20060628 20060628		
CN, CO, C GE, GH, G KR, KZ, L MW, MX, M SC, SD, S	CR, CU, CZ, DI GM, HN, HR, HU LA, LC, LK, LI MZ, NA, NG, NI	E, DK, DM, DZ, J, ID, IL, IN, R, LS, LT, LU, I, NO, NZ, OM, L, SM, SY, TJ,	BG, BR, BW, BY, EC, EE, EG, ES, IS, JP, KE, KG, LV, LY, MA, MD, PG, PH, PL, PT, TM, TN, TR, TT,	FI, GB, GD, KM, KN, KP, MG, MK, MN, RO, RS, RU,		
RW: AT, BE, E IS, IT, I CF, CG, C GM, KE, I KG, KZ, M	BG, CH, CY, CZ LT, LU, LV, MC CI, CM, GA, GI LS, MW, MZ, NZ MD, RU, TJ, TR A2 200	A, DE, DK, EE, C, NL, PL, PT, I, GQ, GW, ML, A, SD, SL, SZ, A, AP, EA, EP, 20	ES, FI, FR, GB, RO, SE, SI, SK, MR, NE, SN, TD, TZ, UG, ZM, ZW, OA 006-774176 ES, FI, FR, GB,	TR, BF, BJ, TG, BW, GH, AM, AZ, BY, 20060628		

JP 2009500331 T 20090108 JP 2008-519513 20060628 PRIORITY APPLN. INFO.: US 2003-533712P P 20031229

US 2003-533712P P 20031229 US 2004-588643P P 20040716 US 2004-27779 A2 20041229 US 2005-174000 A 20050701 WO 2006-US25138 W 20060628

AB The invention provides methods and compns. for modulating the activity or level of a sirtuin, thereby treating or preventing obesity or an insulin resistance disorder, e.g. diabetes, in a subject. Exemplary methods comprise contacting a cell with a sirtuin activating compound or an inhibitory compound to thereby increase or decrease fat accumulation, resp.

411233-11-9
RL: PAC (Pharmacological activity); BIOL (Biological study)
 (sirtuin modulators for treatment or prevention of obesity and insulin resistance disorders)

RN 411233-11-9 CAPLUS

ΙT

CN 1,3-Benzenediol, 5-[(1E)-2-[4-(acetyloxy)phenyl]ethenyl]- (CA INDEX NAME)

Double bond geometry as shown.

OS.CITING REF COUNT: 5 THERE ARE 5 CAPLUS RECORDS THAT CITE THIS RECORD (7 CITINGS)

L3 ANSWER 18 OF 43 CAPLUS COPYRIGHT 2009 ACS on STN

ACCESSION NUMBER: 2006:104637 CAPLUS

DOCUMENT NUMBER: 144:184697

TITLE: Sirtuin related therapeutics and diagnostics for

neurodegenerative diseases

INVENTOR(S): Sinclair, David A.; Tsai, Li-Huei; Nguyen, Minh Dang;

Howitz, Konrad T.; Zipkin, Robert E.; Bitterman, Kevin

J.

PATENT ASSIGNEE(S): President and Fellows of Harvard College, USA

SOURCE: U.S. Pat. Appl. Publ., 163 pp., Cont.-in-part of U.S.

Ser. No. 884,022.

CODEN: USXXCO

DOCUMENT TYPE: Patent LANGUAGE: English

FAMILY ACC. NUM. COUNT: 3

PATENT NO.	KIND	DATE	APPLICATION NO.	DATE
US 20060025337	A1	20060202	US 2005-74374	20050307
US 20050096256	A1	20050505	US 2004-884022	20040701

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US 20050136537
                                20050623
                                          US 2004-884879
                        A1
                                                                   20040701
     US 7544497
                         В2
                                20090609
     AU 2006220554
                        A1
                                20060914
                                           AU 2006-220554
                                                                   20060307
     CA 2599125
                                           CA 2006-2599125
                         Α1
                                20060914
                                                                   20060307
     WO 2006096780
                         Α2
                                20060914
                                           WO 2006-US8290
                                                                   20060307
     WO 2006096780
                         А3
                               20070118
            AE, AG, AL, AM, AT, AU, AZ, BA, BB, BG, BR, BW, BY, BZ, CA, CH,
             CN, CO, CR, CU, CZ, DE, DK, DM, DZ, EC, EE, EG, ES, FI, GB, GD,
             GE, GH, GM, HR, HU, ID, IL, IN, IS, JP, KE, KG, KM, KN, KP, KR,
             KZ, LC, LK, LR, LS, LT, LU, LV, LY, MA, MD, MG, MK, MN, MW, MX,
            MZ, NA, NG, NI, NO, NZ, OM, PG, PH, PL, PT, RO, RU, SC, SD, SE,
             SG, SK, SL, SM, SY, TJ, TM, TN, TR, TT, TZ, UA, UG, US, UZ, VC,
             VN, YU, ZA, ZM, ZW
         RW: AT, BE, BG, CH, CY, CZ, DE, DK, EE, ES, FI, FR, GB, GR, HU, IE,
             IS, IT, LT, LU, LV, MC, NL, PL, PT, RO, SE, SI, SK, TR, BF, BJ,
             CF, CG, CI, CM, GA, GN, GQ, GW, ML, MR, NE, SN, TD, TG, BW, GH,
             GM, KE, LS, MW, MZ, NA, SD, SL, SZ, TZ, UG, ZM, ZW, AM, AZ, BY,
             KG, KZ, MD, RU, TJ, TM
     EP 1863461
                         Α2
                               20071212
                                           EP 2006-737459
                                                                   20060307
         R: AT, BE, BG, CH, CY, CZ, DE, DK, EE, ES, FI, FR, GB, GR, HU, IE,
             IS, IT, LI, LT, LU, LV, MC, NL, PL, PT, RO, SE, SI, SK, TR
     JP 2008533024
                         Τ
                                20080821
                                           JP 2008-500879
                                                                   20060307
     JP 2007326872
                         Α
                                20071220
                                            JP 2007-203287
                                                                   20070803
PRIORITY APPLN. INFO.:
                                            US 2003-483949P
                                                               P 20030701
                                            US 2003-532158P
                                                               P 20031223
                                            US 2004-884022
                                                               A2 20040701
                                            US 2004-884879
                                                               A2 20040701
                                            JP 2006-518817
                                                               A3 20040701
                                                               A 20050307
                                            US 2005-74374
                                           WO 2006-US8290
                                                               W 20060307
     Provided herein are methods and compns. for modulating the activity of
AΒ
     sirtuin deacetylase protein family members; p53 activity; apoptosis;
     lifespan and sensitivity to stress of cells and organisms. Exemplary
     methods comprise contacting a cell with an activating compound, such as a
     flavone, stilbene, flavanone, isoflavone, catechin, chalcone, tannin or
     anthocyanidin; or an inhibitory compound, such as a sphingolipid, e.g.,
     sphingosine. Also disclosed herein are methods for treating, preventing
     or diagnosing a disease associated with neuronal cell death, e.g., a
     neurodegenerative disease.
ΙT
    411233-11-9, BML-221
     RL: PAC (Pharmacological activity); THU (Therapeutic use); BIOL
     (Biological study); USES (Uses)
        (sirtuin related therapeutics and diagnostics for neurodegenerative
        diseases)
     411233-11-9 CAPLUS
RN
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Double bond geometry as shown.

CN

1,3-Benzenediol, 5-[(1E)-2-[4-(acetyloxy)phenyl]ethenyl]- (CA INDEX NAME)

OS.CITING REF COUNT: 7 THERE ARE 7 CAPLUS RECORDS THAT CITE THIS RECORD (7 CITINGS)

L3 ANSWER 19 OF 43 CAPLUS COPYRIGHT 2009 ACS on STN

ACCESSION NUMBER: 2006:46182 CAPLUS

DOCUMENT NUMBER: 146:162944

TITLE: An improved synthesis of resveratrol

AUTHOR(S): Farina, Angela; Ferranti, Carolina; Marra, Carolina CORPORATE SOURCE: Dipartimento di Chimica dell'Universita "La Sapienza",

Rome, 00185, Italy

SOURCE: Natural Product Research, Part A: Structure and

Synthesis (2006), 20(3), 247-252

CODEN: NPRPC8

Ι

PUBLISHER: Taylor & Francis Ltd.

DOCUMENT TYPE: Journal LANGUAGE: English

OTHER SOURCE(S): CASREACT 146:162944

GΙ

AB An improved total synthesis of resveratrol (I) was reported which increased the overall yield from 22 to 71%. The synthesis reported in the author's previous publication was made up of two fundamental steps, a Wittig reaction and a Heck coupling. The yield of the Wittig reaction was increased up to 98%. However, reaction conditions better than those previously reported for the Heck coupling were not found.

IT 411233-11-9P

RL: SPN (Synthetic preparation); PREP (Preparation) (improved synthesis of resveratrol and its derivs. via Wittig olefination and stereoselective Heck coupling reactions)

RN 411233-11-9 CAPLUS

CN 1,3-Benzenediol, 5-[(1E)-2-[4-(acetyloxy)phenyl]ethenyl]- (CA INDEX NAME)

Double bond geometry as shown.

THERE ARE 6 CAPLUS RECORDS THAT CITE THIS RECORD OS.CITING REF COUNT:

(6 CITINGS)

REFERENCE COUNT: 21 THERE ARE 21 CITED REFERENCES AVAILABLE FOR THIS RECORD. ALL CITATIONS AVAILABLE IN THE RE FORMAT

ANSWER 20 OF 43 CAPLUS COPYRIGHT 2009 ACS on STN

ACCESSION NUMBER: 2006:31590 CAPLUS

DOCUMENT NUMBER: 144:121811

TITLE: Compositions and methods for selectively activating

human sirtuins

INVENTOR(S): Howitz, Konrad T.; Zipkin, Robert E. PATENT ASSIGNEE(S): Biomol Research Laboratories, Inc., USA

SOURCE: PCT Int. Appl., 91 pp.

CODEN: PIXXD2

DOCUMENT TYPE: Patent English LANGUAGE:

FAMILY ACC. NUM. COUNT:

PATENT INFORMATION:

Ι	PATENT NO.				KIN	D DATE			APPLICATION NO.						DATE		
	WO 2006 WO 2006						2006	0112	;	WO 2	005-	JS22	874		20050624		
	W:	ΑE,	AG,	AL,	AM,	ΑT,	AU,	ΑZ,	BA,	BB,	BG,	BR,	BW,	BY,	BZ,	CA,	CH,
		CN,	CO,	CR,	CU,	CZ,	DE,	DK,	DM,	DZ,	EC,	EE,	EG,	ES,	FΙ,	GB,	GD,
		GE,	GH,	GM,	HR,	HU,	ID,	IL,	IN,	IS,	JP,	ΚE,	KG,	KM,	KP,	KR,	KΖ,
		LC,	LK,	LR,	LS,	LT,	LU,	LV,	MA,	MD,	MG,	MK,	MN,	MW,	MX,	MZ,	NA,
		NG,	ΝI,	NO,	NZ,	OM,	PG,	PH,	PL,	PT,	RO,	RU,	SC,	SD,	SE,	SG,	SK,
	SL, SM, S			SY,	ΤJ,	TM,	TN,	TR,	TT,	TZ,	UA,	UG,	US,	UΖ,	VC,	VN,	YU,
	ZA, ZM, Z																
	RW:	ΑT,	ΒE,	ВG,	CH,	CY,	CZ,	DE,	DK,	EE,	ES,	FI,	FR,	GB,	GR,	HU,	ΙE,
		IS,	ΙT,	LT,	LU,	MC,	NL,	PL,	PT,	RO,	SE,	SI,	SK,	TR,	BF,	ΒJ,	CF,
		CG,	CI,	CM,	GA,	GN,	GQ,	GW,	ML,	MR,	NE,	SN,	TD,	ΤG,	BW,	GH,	GM,
		ΚE,	LS,	MW,	MZ,	NA,	SD,	SL,	SZ,	TZ,	UG,	ZM,	ZW,	ΑM,	ΑZ,	BY,	KG,
		KΖ,	MD,	RU,	ΤJ,	TM,	AP,	EA,	EP,	OA							
Ţ	US 2006	0014	705		A1		2006	0119		US 2	005-	1668	92		2	0050	624
PRIOR	ITY APP	LN.	INFO	.:						US 2	004-	5849	43P	,	P 2	0040	630
OTHER SOURCE(S): MARPAT 144:121811																	
AB Methods for identifying					ying	sel	ecti	ve a	ctiv	ator	s and	d or	inh	ibit	ors	of t	ne
sirtuin enzymes (class					ass	III	hist	one o	deac	etyl	ases	) SI	RT5	and/	or S	IRT1	and
r	<del>-</del>				hese	sel	elective activators and or inhibitor				ors .	s in the					

modulation of SIRT5 and/or SIRT1 are provided. Another aspect of the present invention relates to a method for modulating mitochondrial

acetyl-CoA synthetase (AceS2) activity in cells which comprises contacting the cells with a human SIRT5 activating compound or a human SIRT5 inhibiting compound Another aspect of the present invention relates to pharmaceutical compns. comprising a human SIRT5 activating compound and methods for their use as lipid-lowering agents. Such agents are expected to be useful in treatment of patients with hyperlipidemia and hypercholesterolemia as well as prevention and treatment of type 2 diabetes in patients.

IT 411233-11-9, BML 221

RL: PAC (Pharmacological activity); THU (Therapeutic use); BIOL (Biological study); USES (Uses)

(compns. and methods for selectively activating or inhibiting human sirtuin enzymes such as SIRT1 or SIRT5 to modulate mitochondrial acetyl-CoA synthetase as lipid-lowering agents for treatment of diseases)

RN 411233-11-9 CAPLUS

CN 1,3-Benzenediol, 5-[(1E)-2-[4-(acetyloxy)phenyl]ethenyl]- (CA INDEX NAME)

Double bond geometry as shown.

OS.CITING REF COUNT: 1 THERE ARE 1 CAPLUS RECORDS THAT CITE THIS RECORD (1 CITINGS)

L3 ANSWER 21 OF 43 CAPLUS COPYRIGHT 2009 ACS on STN

ACCESSION NUMBER: 2005:1171066 CAPLUS

DOCUMENT NUMBER: 143:432651

TITLE: Carotenoid analogs or derivatives for the inhibition

and amelioration of inflammation

INVENTOR(S): Lockwood, Samuel F.; O'Malley, Sean; Jackson, Henry;

Nadolski, Geoff

PATENT ASSIGNEE(S): Hawaii Biotech, Inc., USA SOURCE: PCT Int. Appl., 129 pp.

CODEN: PIXXD2

DOCUMENT TYPE: Patent LANGUAGE: English

FAMILY ACC. NUM. COUNT: 2

PATENT NO.				KIND		DATE		APPLICATION NO.						DATE		
					_											
WO 2005102356			A1	20051103			WO 2005-US12811						20050414			
W:	ΑE,	AG,	AL,	AM,	ΑT,	ΑU,	AZ,	BA,	BB,	BG,	BR,	BW,	BY,	BZ,	CA,	CH,
	CN,	CO,	CR,	CU,	CZ,	DE,	DK,	DM,	DZ,	EC,	EE,	EG,	ES,	FI,	GB,	GD,
	GE,	GH,	GM,	HR,	HU,	ID,	IL,	IN,	IS,	JP,	ΚE,	KG,	KM,	KP,	KR,	KΖ,
	LC,	LK,	LR,	LS,	LT,	LU,	LV,	MA,	MD,	MG,	MK,	MN,	MW,	MX,	MZ,	NA,
	NI,	NO,	NZ,	OM,	PG,	PH,	PL,	PT,	RO,	RU,	SC,	SD,	SE,	SG,	SK,	SL,

```
SM, SY, TJ, TM, TN, TR, TT, TZ, UA, UG, US, UZ, VC, VN, YU, ZA,
         RW: BW, GH, GM, KE, LS, MW, MZ, NA, SD, SL, SZ, TZ, UG, ZM, ZW, AM,
             AZ, BY, KG, KZ, MD, RU, TJ, TM, AT, BE, BG, CH, CY, CZ, DE, DK,
             EE, ES, FI, FR, GB, GR, HU, IE, IS, IT, LT, LU, MC, NL, PL, PT,
             RO, SE, SI, SK, TR, BF, BJ, CF, CG, CI, CM, GA, GN, GQ, GW, ML,
             MR, NE, SN, TD, TG
     CA 2564066
                                20051103
                                         CA 2005-2564066
                         Α1
     EP 1750723
                                20070214
                                           EP 2005-735338
                                                                   20050414
                          Α1
         R: AT, BE, BG, CH, CY, CZ, DE, DK, EE, ES, FI, FR, GB, GR, HU, IE,
             IS, IT, LI, LT, LU, MC, NL, PL, PT, RO, SE, SI, SK, TR
PRIORITY APPLN. INFO.:
                                            US 2004-562195P
                                            WO 2005-US12811
                                                               W 20050414
OTHER SOURCE(S):
                        MARPAT 143:432651
    A method for inhibiting and/or ameliorating the occurrence of diseases in
     a human subject whereby a subject is administered a carotenoid analog or
     derivative, either alone or in combination with another carotenoid analog or
     derivative In some embodiments, the administration of analogs or derivs. of
     carotenoids may inhibit and/or ameliorate the occurrence of diseases in
     subjects. In some embodiments, analogs or derivs. of carotenoids may be
     water-soluble and/or water dispersible. Maladies that may be treated with
     analogs or derivs. of carotenoids embodied herein may include diseases
     that provoke or trigger an inflammatory response. In an embodiment,
     asthma may be treated with analogs or derivs. of carotenoids embodied
     herein. In an embodiment, administering analogs or derivs. of carotenoids
     embodied herein to a subject may control or affect the bioavailability of
     eicosanoids. In an embodiment, atherosclerosis may be treated with
     analogs or derivs. of carotenoids embodied herein. In an embodiment,
     administering the analogs or derivs. of carotenoids embodied herein to a
     subject may control or affect the bioavailability of 5-LO-catalyzed
     eicosanoid metabolites. In an embodiment, 5-LO-catalyzed eicosanoid
     metabolites that may be controlled or affected by administering analogs or
     derivs. of carotenoids to a subject may include proinflammatory effector
     mols. (e.g., leukotrienes).
     835885-11-5
ΙT
                     835885-12-6
     RL: PAC (Pharmacological activity); THU (Therapeutic use); BIOL
     (Biological study); USES (Uses)
        (carotenoid analogs or derivs. for inhibition and amelioration of
        inflammation)
RN
     835885-11-5 CAPLUS
CN
     \beta, \beta-Carotene-4, 4'-dione,
     3-(3-carboxy-1-oxopropoxy)-3'-[4-[4-[(1E)-2-(3,5-
```

Double bond geometry as shown.

dihydroxyphenyl)ethenyl]phenoxy]-1,4-dioxobutoxy]- (CA INDEX NAME)

PAGE 1-A

PAGE 1-C

\_\_CO2H

RN 835885-12-6 CAPLUS CN  $\beta$ ,  $\beta$ -Carotene-4, 4'-dione, 3,3'-bis[4-[4-[(1E)-2-(3,5-dihydroxyphenyl)ethenyl]phenoxy]-1,4-dioxobutoxy]- (CA INDEX NAME)

Double bond geometry as shown.

PAGE 1-C

PAGE 2-A

PAGE 2-B

PAGE 2-C

PAGE 3-A

OS.CITING REF COUNT: 6 THERE ARE 6 CAPLUS RECORDS THAT CITE THIS RECORD (7 CITINGS)

REFERENCE COUNT: 9 THERE ARE 9 CITED REFERENCES AVAILABLE FOR THIS RECORD. ALL CITATIONS AVAILABLE IN THE RE FORMAT

L3 ANSWER 22 OF 43 CAPLUS COPYRIGHT 2009 ACS on STN

ACCESSION NUMBER: 2005:696641 CAPLUS

DOCUMENT NUMBER: 143:172689

TITLE: Preparation of resveratrol ester analogs as sirtuin

activators

INVENTOR(S): Andrus, Merritt B.; Liu, Jing

PATENT ASSIGNEE(S): Brigham Young University Technology Transfer Office,

USA

SOURCE: PCT Int. Appl., 95 pp.

CODEN: PIXXD2

DOCUMENT TYPE: Patent LANGUAGE: English

FAMILY ACC. NUM. COUNT: 1

PATENT INFORMATION:

PATENT NO.	KIND DATE	APPLICATION NO.	DATE
WO 2005069998 WO 2005069998		WO 2005-US2229	20050119
W: AE, AG, AL, CN, CO, CR, GE, GH, GM, LK, LR, LS, NO, NZ, OM, TJ, TM, TN, RW: BW, GH, GM, AZ, BY, KG, EE, ES, FI,	AM, AT, AU, AZ, CU, CZ, DE, DK, HR, HU, ID, IL, LT, LU, LV, MA, PG, PH, PL, PT, TR, TT, TZ, UA, KE, LS, MW, MZ, KZ, MD, RU, TJ, FR, GB, GR, HU,	BA, BB, BG, BR, BW, DM, DZ, EC, EE, EG, IN, IS, JP, KE, KG, MD, MG, MK, MN, MW, RO, RU, SC, SD, SE, UG, US, UZ, VC, VN, NA, SD, SL, SZ, TZ, TM, AT, BE, BG, CH, IE, IS, IT, LT, LU,	ES, FI, GB, GD, KP, KR, KZ, LC, MX, MZ, NA, NI, SG, SK, SL, SY, YU, ZA, ZM, ZW, SM UG, ZM, ZW, AM, CY, CZ, DE, DK, MC, NL, PL, PT,
MR, NE, SN,	TD, TG	CF, CG, CI, CM, GA, AU 2005-207029	
		CA 2005-2593576	
EP 1753708	A2 20070221	EP 2005-711939	20050119
IS, IT, LI,	LT, LU, MC, NL,	DK, EE, ES, FI, FR, PL, PT, RO, SE, SI, US 2006-597335	SK, TR
PRIORITY APPLN. INFO.:		US 2004-537622P	
		US 2004-616537P	P 20041006
		WO 2005-US2229	
OTHER SOURCE(S): GI	CASREACT 143:17	2689; MARPAT 143:1726	89

AB Resveratrol and ester analogs of formula I [A1-A3 = protecting group, acyl] are prepared The compds. are made from a multi-step process including a N-heterocyclic carbene-type ligand coupling in the presence of a base with benzoyl halide and styrene coupling partners. These compds. show increased stability for use in the food, cosmetic and pharmaceutical industries (no data). Thus, resveratrol (I; A1-A3 = H) was prepared by decarbonylative Heck coupling of 3,5-diacetoxybenzoyl chloride using Pd(OAc)2 and 1,3-bis(2,6-diisopropylphenyl)imidazolinium chloride and

Ι

3-acetoxystyrene followed by deprotection with NaOH. 411233-11-9P 861446-31-3P 861446-36-8P ΙT 861446-41-5P 861446-46-0P 861446-51-7P 861446-56-2P 861446-61-9P 861446-66-4P 861446-71-1P 861446-76-6P 861446-81-3P 861446-86-8P 861446-91-5P 861446-96-0P 861447-01-0P 861447-06-5P RL: COS (Cosmetic use); FFD (Food or feed use); PAC (Pharmacological activity); SPN (Synthetic preparation); THU (Therapeutic use); BIOL (Biological study); PREP (Preparation); USES (Uses) (preparation of resveratrol ester analogs as sirtuin activators) RN 411233-11-9 CAPLUS CN 1,3-Benzenediol, 5-[(1E)-2-[4-(acetyloxy)phenyl]ethenyl]- (CA INDEX NAME)

Double bond geometry as shown.

RN 861446-31-3 CAPLUS

CN 1,3-Benzenediol, 5-[2-[4-(1-oxopropoxy)phenyl]ethenyl]- (CA INDEX NAME)

RN 861446-36-8 CAPLUS

CN Butanoic acid, 4-[2-(3,5-dihydroxyphenyl)ethenyl]phenyl ester (CA INDEX NAME)

RN 861446-41-5 CAPLUS

CN Pentanoic acid, 4-[2-(3,5-dihydroxyphenyl)ethenyl]phenyl ester (CA INDEX NAME)

RN 861446-46-0 CAPLUS

CN Hexanoic acid, 4-[2-(3,5-dihydroxyphenyl)ethenyl]phenyl ester (CA INDEX NAME)

RN 861446-51-7 CAPLUS

CN 2,4-Hexadienoic acid, 4-[2-(3,5-dihydroxyphenyl)] ethenyl]phenyl ester (CA INDEX NAME)

RN 861446-56-2 CAPLUS

CN Dodecanoic acid, 4-[2-(3,5-dihydroxyphenyl)ethenyl]phenyl ester (CA INDEX NAME)

RN 861446-61-9 CAPLUS

CN Hexadecanoic acid, 4-[2-(3,5-dihydroxyphenyl)ethenyl]phenyl ester (CA INDEX NAME)

RN 861446-66-4 CAPLUS

CN Octadecanoic acid, 4-[2-(3,5-dihydroxyphenyl)ethenyl]phenyl ester (CA INDEX NAME)

RN 861446-71-1 CAPLUS

9-Octadecenoic acid (9Z)-, 4-[(1E)-2-(3,5-dihydroxyphenyl)ethenyl]phenylCN ester (CA INDEX NAME)

Double bond geometry as shown.

Me 
$$(CH_2)$$
  $7$   $Z$   $(CH_2)$   $7$   $O$ 

RN 861446-76-6 CAPLUS

9,12-Octadecadienoic acid (9Z,12Z)-, CN 4-[(1E)-2-(3,5-dihydroxyphenyl)ethenyl]phenyl ester (CA INDEX NAME)

Double bond geometry as shown.

PAGE 1-A OH (CH<sub>2</sub>)  $\frac{1}{4}$   $\frac{1}{2}$   $\frac{1}{2}$  (CH<sub>2</sub>)  $\frac{1}{7}$  O

PAGE 1-B

ОН

RN 861446-81-3 CAPLUS

CN 6,9,12-Octadecatrienoic acid, 4-[2-(3,5-dihydroxyphenyl)ethenyl]phenyl ester (CA INDEX NAME)

PAGE 1-A

PAGE 1-B

- CH $_2$ - CH= CH- (CH $_2$ )  $_4$ - Me

RN 861446-86-8 CAPLUS

CN 9,12,15-Octadecatrienoic acid, 4-[2-(3,5-dihydroxyphenyl)ethenyl]phenyl ester (CA INDEX NAME)

PAGE 1-A

PAGE 1-B

- CH $_2$ - CH= CH- Et

RN 861446-91-5 CAPLUS

CN 3,6,9-Octadecatrienoic acid, 4-[2-(3,5-dihydroxyphenyl)ethenyl]phenyl ester (CA INDEX NAME)

PAGE 1-A

PAGE 1-B

-CH=CH-(CH<sub>2</sub>) $_{7}-$ Me

RN 861446-96-0 CAPLUS

CN 5,8,11,14-Eicosatetraenoic acid, 4-[2-(3,5-dihydroxyphenyl)ethenyl]phenyl ester (CA INDEX NAME)

PAGE 1-A

PAGE 1-B

$$-$$
 CH<sub>2</sub> $-$  CH $=$  CH $-$  CH<sub>2</sub> $-$  CH $=$  CH $-$  (CH<sub>2</sub>) 4 $-$  Me

RN 861447-01-0 CAPLUS

CN 5,8,11,14,17-Eicosapentaenoic acid, 4-[2-(3,5-dihydroxyphenyl)ethenyl]phenyl ester (CA INDEX NAME)

PAGE 1-A

PAGE 1-B

$$-$$
 CH $_2$ - CH $=$  CH $-$  CH $_2$ - CH $=$  CH $-$  CH $_2$ - CH $=$  CH $-$  Et

RN 861447-06-5 CAPLUS

CN 4,7,10,13,16,19-Docosahexaenoic acid, 4-[2-(3,5-dihydroxyphenyl)ethenyl]phenyl ester (CA INDEX NAME)

PAGE 1-A

PAGE 1-B

OS.CITING REF COUNT: 6 THERE ARE 6 CAPLUS RECORDS THAT CITE THIS RECORD

(6 CITINGS)

REFERENCE COUNT: 1 THERE ARE 1 CITED REFERENCES AVAILABLE FOR THIS

## RECORD. ALL CITATIONS AVAILABLE IN THE RE FORMAT

L3 ANSWER 23 OF 43 CAPLUS COPYRIGHT 2009 ACS on STN

ACCESSION NUMBER: 2005:588393 CAPLUS

DOCUMENT NUMBER: 143:97547

TITLE: Carotenoid ether analogs or derivatives for

controlling connexin 43 expression

INVENTOR(S): Lockwood, Samuel Fournier; O'Malley, Sean; Watumull,

David G.; Hix, Laura M.; Jackson, Henry; Nadolski,

Geoff

PATENT ASSIGNEE(S): USA

SOURCE: U.S. Pat. Appl. Publ., 128 pp., Cont.-in-part of U.S.

Ser. No. 629,538.

CODEN: USXXCO

DOCUMENT TYPE: Patent LANGUAGE: English

FAMILY ACC. NUM. COUNT: 16

PATENT INFORMATION:

PATENT NO.	KIND	DATE	APPLICATION NO.		DATE
US 20050148517	A1	20050707	US 2004-793651		20040304
US 20040162329	A1	20040819	US 2003-629538		20030729
US 7145025	B2	20061205			
US 20050065097	A1	20050324	US 2004-793696		20040304
US 20050075337	A1	20050407	US 2004-793702		20040304
US 20060229446	A1	20061012	US 2006-357897		20060217
PRIORITY APPLN. INFO.:			US 2002-399194P	P	20020729
			US 2003-467973P	P	20030505
			US 2003-472831P	P	20030522
			US 2003-473741P	P	20030528
			US 2003-485304P	P	20030703
			US 2003-629538	A2	20030729

OTHER SOURCE(S): CASREACT 143:97547; MARPAT 143:97547

GΙ

Me Me R3 (Z) n R3 Me Me Me X0 Me Me 
$$\mathbb{R}^3$$

AB A method of controlling (e.g., influencing or affecting) connexin 43 expression in a subject may include administering to the subject an effective amount of a pharmaceutically acceptable formulation. In some

embodiments, controlling connexin 43 expression in a subject may effectively treat cardiac arrhythmia and/or cancerous and pre-cancerous cells in a subject. The pharmaceutically acceptable formulation may include a synthetic analog or derivative I [R1 = alkyl-N+(R2)3, aryl-N+(R2)3, , alkyl-CO2-, aryl-CO2-, (un)phosphorylated amino acid-NH3+, polyethylene qlycol, dextran, H, alkyl, aryl, alkali salt; R2 = H, alkyl, aryl; R3 = H, Me; X = P(:0)(OR1)2, S(:0)(OR1)2, X1, alkyl-N+(R1)3, aryl-N+(R1)3, alkyl-CO2-, aryl-CO2-, (un)phosphorylated amino acid-NH3+, polyethylene glycol, dextran, alkyl, aryl; Y = 0, H2; Z = CR3:CR3-(E); n = 5 - 12; with the proviso that the carotenoid has at least one chiral center] of a carotenoid. The subject may be administered a carotenoid analog or derivative, either alone or in combination with another carotenoid analog or derivative, or co-antioxidant formulation. The carotenoid analog may include a conjugated polyene with between 7 to 14 double bonds. The conjugated polyene may include a cyclic ring including at least one substituent. In some embodiments, a cyclic ring of a carotenoid analog or derivative may include at least one substituent. The substituent may be coupled to the cyclic ring with an ether functionality.

ΙT 835885-11-5P 835885-12-6P

> RL: SPN (Synthetic preparation); THU (Therapeutic use); BIOL (Biological study); PREP (Preparation); USES (Uses)

(carotenoid ether analogs or derivs. for controlling connexin 43 expression)

835885-11-5 CAPLUS

 $\beta$ ,  $\beta$ -Carotene-4, 4'-dione, CN

3-(3-carboxy-1-oxopropoxy)-3'-[4-[4-[(1E)-2-(3,5-

dihydroxyphenyl)ethenyl]phenoxy]-1,4-dioxobutoxy]- (CA INDEX NAME)

Double bond geometry as shown.

PAGE 1-A

Ме

Me

PAGE 1-B Me. Ме Ε Ε Ε Ε Ε

Ме

Me

PAGE 1-C

\_CO2H

835885-12-6 CAPLUS RN  $\beta$ ,  $\beta$ -Carotene-4, 4'-dione, CN 3,3'-bis[4-[4-[(1E)-2-(3,5-dihydroxyphenyl)ethenyl]phenoxy]-1,4dioxobutoxy] - (CA INDEX NAME)

Double bond geometry as shown.

PAGE 1-C

PAGE 2-A

PAGE 2-B

PAGE 2-C

PAGE 3-A

OS.CITING REF COUNT: 3 THERE ARE 3 CAPLUS RECORDS THAT CITE THIS RECORD (3 CITINGS)

L3 ANSWER 24 OF 43 CAPLUS COPYRIGHT 2009 ACS on STN

ACCESSION NUMBER: 2005:570539 CAPLUS

DOCUMENT NUMBER: 143:78324

TITLE: Carotenoid analogs or derivatives for the inhibition

and amelioration of ischemic reperfusion injury

INVENTOR(S): Lockwood, Samuel Fournier; O'Malley, Sean; Watumull,

David G.; Hix, Laura M.; Jackson, Henry; Nadolski,

Geoff

PATENT ASSIGNEE(S): USA

SOURCE: U.S. Pat. Appl. Publ., 135 pp., Cont.-in-part of U.S.

Ser. No. 629,538.

CODEN: USXXCO

DOCUMENT TYPE: Patent LANGUAGE: English

FAMILY ACC. NUM. COUNT: 16

PATENT INFORMATION:

PATENT NO.	KIND	DATE	APPLICATION NO.	DATE
US 20050143475	A1	20050630	US 2004-793661	20040304
US 20040162329	A1	20040819	US 2003-629538	20030729
US 7145025	B2	20061205		
US 20050065097	A1	20050324	US 2004-793696	20040304
US 20050075337	A1	20050407	US 2004-793702	20040304
US 20060229446	A1	20061012	US 2006-357897	20060217
PRIORITY APPLN. INFO.:			US 2002-399194P	P 20020729
			US 2003-467973P	P 20030505
			US 2003-472831P	P 20030522

US 2003-473741P P 20030528 US 2003-485304P P 20030703 US 2003-629538 A2 20030729

OTHER SOURCE(S): GI

CASREACT 143:78324; MARPAT 143:78324

AΒ A method of treating ischemic reperfusion injury in a subject. The method may include administering to the subject an effective amount of a pharmaceutically acceptable formulation. The pharmaceutically acceptable formulation may include a synthetic analog or derivative I [R1, R2 = acyclic alkene, C4-10-ring with at least one substituent; R3 = H, Me (may be same or different); n = 5 - 12] of a carotenoid. The subject may be administered a carotenoid analog or derivative, either alone or in combination with another carotenoid analog or derivative, or co-antioxidant formulation. The carotenoid analog may include a conjugated polyene with between 7 to 14 double bonds. The conjugated polyene may include an acyclic alkene including at least one substituent and/or a cyclic ring including at least one substituent. In some embodiments, a carotenoid analog or derivative may include at least one substituent. Thus,  $(\pm)$ -astaxanthin disuccinate disodium salt (II) was prepared from (±)-astaxanthin via acylation with succinic anhydride in CH2Cl2 containing EtN(CHMe2)2 and DMAP followed by treatment with NaOEt in EtOH. II was tested for: super oxide inhibition [95% inhibition at 3 mM]; infarct size reduction in Sprague-Rawley rats and Cardax-treated rabbits [55.4%]; plasma pharmacokinetics; tissue accumulation; reduction of alanine aminotransferase elevations in mice; and optical properties of dAST derivative with Human Serum Albumin.

IT 835885-11-5P 835885-12-6P

RL: SPN (Synthetic preparation); THU (Therapeutic use); BIOL (Biological study); PREP (Preparation); USES (Uses)

(carotenoid analogs or derivs. for inhibition and amelioration of ischemic reperfusion injury)

835885-11-5 CAPLUS RN

 $\beta$ ,  $\beta$ -Carotene-4, 4'-dione, CN

3-(3-carboxy-1-oxopropoxy)-3'-[4-[4-[(1E)-2-(3,5dihydroxyphenyl)ethenyl]phenoxy]-1,4-dioxobutoxy]- (CA INDEX NAME)

Double bond geometry as shown.

PAGE 1-A

PAGE 1-C

\_\_CO2H

RN 835885-12-6 CAPLUS  $\beta$ ,  $\beta$ -Carotene-4, 4'-dione, CN 3,3'-bis[4-[4-[(1E)-2-(3,5-dihydroxyphenyl)ethenyl]phenoxy]-1,4dioxobutoxy] - (CA INDEX NAME)

Double bond geometry as shown.

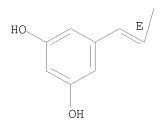
PAGE 1-C

PAGE 2-A

PAGE 2-B

PAGE 2-C

PAGE 3-A



OS.CITING REF COUNT: 4 THERE ARE 4 CAPLUS RECORDS THAT CITE THIS RECORD (4 CITINGS)

L3 ANSWER 25 OF 43 CAPLUS COPYRIGHT 2009 ACS on STN

ACCESSION NUMBER: 2005:536449 CAPLUS

DOCUMENT NUMBER: 144:86610

AUTHOR(S):

TITLE: Regioselective acylation of several polyhydroxylated

natural compounds by Candida antarctica lipase B
Teng, Rong-Wei; Bui, Thi-Kim-Anh; McManus, David;

Armstrong, David; Mau, Shaio-Lim; Bacic, Antony CORPORATE SOURCE: CRC for Bioproducts, School of Botany, The University

of Melbourne, 3010, Australia

SOURCE: Biocatalysis and Biotransformation (2005), 23(2),

109-116

CODEN: BOBOEQ; ISSN: 1024-2422

PUBLISHER: Taylor & Francis Ltd.

DOCUMENT TYPE: Journal LANGUAGE: English

OTHER SOURCE(S): CASREACT 144:86610

AB Regioselective acylation of four polyhydroxylated natural compds., deacetyl asperulosidic acid (1), asperulosidic acid (2), puerarin (3) and resveratrol (4) by Candida antarctica Lipase B in the presence of various acyl donors (vinyl acetate, vinyl decanoate or vinyl cinnamoate) was studied. Compds. 1, 2 and 4 were regioselectively acetylated with vinyl acetate to afford products, 3'-O-acetyl-10-O-deacetylasperulosidic acid, 3',6'-O-diacetyl-10-O-deacetylasperulosidic acid, 3',6'-O-diacetylasperulosidic acid, 4'-O-acetylresveratrol, resp., with yields of 22 to 50%, while reactions with vinyl decanoate and vinyl cinnamoate were slow with lower yields. Compound 3 was readily acylated with all three acyl donors and quant. converted to products 6"-O-acetylpuerarin, 6"-O-decanoylpuerarin, 6"-O-cinnamoylpuerarin, resp.

The structures of these acylated products were determined by spectroscopic methods (MS and NMR).

IT 411233-11-9P, 4'-O-Acetylresveratrol

RL: BMF (Bioindustrial manufacture); PRP (Properties); BIOL (Biological study); PREP (Preparation)

(regioselective acylation of several polyhydroxylated natural compds.

by Candida antarctica lipase B)

RN 411233-11-9 CAPLUS

CN 1,3-Benzenediol, 5-[(1E)-2-[4-(acetyloxy)phenyl]ethenyl]- (CA INDEX NAME)

Double bond geometry as shown.

OS.CITING REF COUNT: 5 THERE ARE 5 CAPLUS RECORDS THAT CITE THIS RECORD

(5 CITINGS)

REFERENCE COUNT: 31 THERE ARE 31 CITED REFERENCES AVAILABLE FOR THIS

RECORD. ALL CITATIONS AVAILABLE IN THE RE FORMAT

L3 ANSWER 26 OF 43 CAPLUS COPYRIGHT 2009 ACS on STN

ACCESSION NUMBER: 2005:453807 CAPLUS

DOCUMENT NUMBER: 142:482170

TITLE: Carotenoid analogs or derivatives for the inhibition

and amelioration of disease

INVENTOR(S): Lockwood, Samuel Fournier; O'Malley, Sean; Watumull,

David G.; Hix, Laura M.; Jackson, Henry; Nadolski,

Geoff

PATENT ASSIGNEE(S): Cardax Pharmaceuticals, Inc., USA

SOURCE: U.S. Pat. Appl. Publ., 136 pp., Cont.-in-part of U.S.

Ser. No. 629,538.

CODEN: USXXCO

DOCUMENT TYPE: Patent LANGUAGE: English

FAMILY ACC. NUM. COUNT: 16

PATENT INFORMATION:

PATENT NO.	KIND	DATE	APPLICATION NO.		DATE
US 20050113372	A1	20050526	US 2004-793670		20040304
US 7521584 US 20040162329	B2 A1	20090421 20040819	US 2003-629538		20030729
US 7145025 US 20050065097	B2 A1	20061205 20050324	US 2004-793696		20040304
US 20050075337 US 20060229446	A1 A1	20050407 20061012	US 2004-793702 US 2006-357897		20040304
PRIORITY APPLN. INFO.:	ΑI	20001012	US 2000-397037 US 2002-399194P US 2003-467973P	P P	20030729 20030505

US 2003-472831P Р 20030522 US 2003-473741P Р 20030528 US 2003-485304P P 20030703 US 2003-629538 A2 20030729

OTHER SOURCE(S):

CASREACT 142:482170; MARPAT 142:482170

GT

Me Me R3 R3 R3 Me OX

$$R3$$
 R3 R3 Me Me  $R3$  R3 Me Me  $R3$  R3 Me Me

AΒ The preparation and evaluation of carotenoid derivs. I (R1, R2 = independently an acyclic alkene comprising at least one substituent, or a cyclic ring comprising at least one substituent; R3 = independently H or Me; n = 5-12)as antioxidants for the treatment of related disease is described. Thus, astaxanthin in CH2Cl2 was treated with DIPEA and succinic anhydride to vield the disuccinic ester.

653566-06-4P 653566-07-5P ΙT

> RL: PAC (Pharmacological activity); SPN (Synthetic preparation); THU (Therapeutic use); BIOL (Biological study); PREP (Preparation); USES

Ι

(preparation of carotenoid analogs as antioxidants for the inhibition and amelioration of liver disease)

653566-06-4 CAPLUS RN

CN  $\beta$ ,  $\beta$ -Carotene-4, 4'-dione,

> 3-(3-carboxy-1-oxopropoxy)-3'-[4-[4-[(1E)-2-(3,5dihydroxyphenyl)ethenyl]phenoxy]-1,4-dioxobutoxy]-, (3S,3'S)- (9CI) (CA INDEX NAME)

Absolute stereochemistry. Double bond geometry as shown.

PAGE 1-A

PAGE 1-C

\_\_CO2H

RN 653566-07-5 CAPLUS CN  $\beta$ ,  $\beta$ -Carotene-4,4'-dione, 3,3'-bis[4-[4-[(1E)-2-(3,5-dihydroxyphenyl)ethenyl]phenoxy]-1,4-dioxobutoxy]-, (3S,3'S)- (9CI) (CA INDEX NAME)

Absolute stereochemistry. Double bond geometry as shown.

PAGE 1-C

PAGE 2-A

PAGE 2-B

OS.CITING REF COUNT: 3 THERE ARE 3 CAPLUS RECORDS THAT CITE THIS RECORD (3 CITINGS)

L3 ANSWER 27 OF 43 CAPLUS COPYRIGHT 2009 ACS on STN

ACCESSION NUMBER: 2005:371018 CAPLUS

DOCUMENT NUMBER: 142:411509

TITLE: Preparation of carotenoid ester analogs or derivatives

for the inhibition and amelioration of liver disease Lockwood, Samuel Fournier; O'Malley, Sean; Watumull,

David G.; Hix, Laura M.; Jackson, Henry; Nadolski,

Geoff

PATENT ASSIGNEE(S): USA

INVENTOR(S):

SOURCE: U.S. Pat. Appl. Publ., 139 pp., Cont.-in-part of U.S.

Ser. No. 629,538.

CODEN: USXXCO

DOCUMENT TYPE: Patent LANGUAGE: English

FAMILY ACC. NUM. COUNT: 16

PATENT INFORMATION:

PATENT NO.	KIND	DATE	APPLICATION NO.		DATE
US 20050090469	A1	20050428	US 2004-793660		20040304
US 20040162329	A1	20040819	US 2003-629538		20030729
US 7145025	B2	20061205			
US 20050065097	A1	20050324	US 2004-793696		20040304
US 20050075337	A1	20050407	US 2004-793702		20040304
US 20060229446	A1	20061012	US 2006-357897		20060217
PRIORITY APPLN. INFO.:			US 2002-399194P	P	20020729
			US 2003-467973P	P	20030505
			US 2003-472831P	P	20030522
			US 2003-473741P	P	20030528
			US 2003-485304P	P	20030703
			US 2003-629538	A2	20030729

OTHER SOURCE(S): CASREACT 142:411509; MARPAT 142:411509

GI

AB A method of treating liver disease in a subject comprising administering to the subject an effective amount of a pharmaceutically acceptable formulation of a synthetic analog or derivative of a carotenoid. Carotenoid esters of formula I [R = (substituted) OH, (substituted) alkylamino, amino acid, alkyl, etc.; each R1 = H, Me; n = 5-12] are prepared. The subject may be administered a carotenoid analog or derivative, either alone or in combination with another carotenoid analog or derivative, or co-antioxidant formulation. Thus, astaxanthin disuccinate was prepared from astaxanthin and succinic anhydride. The prepared compds. were tested for inhibition of disease and pharmacokinetics.

Ι

IT 653566-06-4P 653566-07-5P 835885-11-5P 835885-12-6P

RL: PAC (Pharmacological activity); PKT (Pharmacokinetics); SPN (Synthetic preparation); THU (Therapeutic use); BIOL (Biological study); PREP (Preparation); USES (Uses)

(preparation of carotenoid esters for the treatment of liver disease)

RN 653566-06-4 CAPLUS

CN  $\beta$ ,  $\beta$ -Carotene-4, 4'-dione,

3-(3-carboxy-1-oxopropoxy)-3'-[4-[4-[(1E)-2-(3,5-

dihydroxyphenyl)ethenyl]phenoxy]-1,4-dioxobutoxy]-, (3S,3'S)- (9CI) (CA INDEX NAME)

Absolute stereochemistry. Double bond geometry as shown.

PAGE 1-A

PAGE 1-C

\_CO2H

RN 653566-07-5 CAPLUS CN  $\beta$ ,  $\beta$ -Carotene-4, 4'-dione, 3,3'-bis[4-[4-[(1E)-2-(3,5-dihydroxyphenyl)ethenyl]phenoxy]-1,4-dioxobutoxy]-, (3S,3'S)- (9CI) (CA INDEX NAME)

Absolute stereochemistry. Double bond geometry as shown.

PAGE 1-C

PAGE 2-A

Page 6508/20/200920/08/2009 < Page 6516:35>

PAGE 2-B

835885-11-5 CAPLUS RN  $\beta$ ,  $\beta$ -Carotene-4, 4'-dione, CN 3-(3-carboxy-1-oxopropoxy)-3'-[4-[4-[(1E)-2-(3,5dihydroxyphenyl)ethenyl]phenoxy]-1,4-dioxobutoxy]- (CA INDEX NAME)

Double bond geometry as shown.

PAGE 1-A

PAGE 1-C

\_\_CO2H

835885-12-6 CAPLUS RN CN

 $\beta$ ,  $\beta$ -Carotene-4, 4'-dione, 3, 3'-bis[4-[4-[(1E)-2-(3,5-dihydroxyphenyl)ethenyl]phenoxy]-1, 4dioxobutoxy] - (CA INDEX NAME)

Double bond geometry as shown.

PAGE 1-C

PAGE 2-A

PAGE 2-B

OS.CITING REF COUNT: 3 THERE ARE 3 CAPLUS RECORDS THAT CITE THIS RECORD (3 CITINGS)

L3 ANSWER 28 OF 43 CAPLUS COPYRIGHT 2009 ACS on STN

ACCESSION NUMBER: 2005:303393 CAPLUS

DOCUMENT NUMBER: 142:373996

TITLE: Pharmaceutical compositions including carotenoid ester

analogs or derivatives for the inhibition and

amelioration of disease

INVENTOR(S): Lockwood, Samuel Fournier; O'Malley, Sean; Watumull,

David G.; Hix, Laura M.; Jackson, Henry; Nadolski,

Geoff

PATENT ASSIGNEE(S): Cardax Pharmaceuticals, Inc., USA

10/597,335 08/20/2009 STN: SEARCH

U.S. Pat. Appl. Publ., 131 pp., Cont.-in-part of U.S. SOURCE:

Ser. No. 629,538. CODEN: USXXCO

DOCUMENT TYPE: Patent

LANGUAGE: English

FAMILY ACC. NUM. COUNT: 16

PATENT INFORMATION:

PATENT NO.	KIND	DATE	APPLICATION NO.		DATE
US 20050075316	A1	20050407	US 2004-793692		20040304
US 7320997	B2	20080122			
US 20040162329	A1	20040819	US 2003-629538		20030729
US 7145025	В2	20061205			
US 20050065097	A1	20050324	US 2004-793696		20040304
US 20050075337	A1	20050407	US 2004-793702		20040304
US 20060229446	A1	20061012	US 2006-357897		20060217
PRIORITY APPLN. INFO.:			US 2002-399194P	P	20020729
			US 2003-467973P	P	20030505
			US 2003-472831P	P	20030522
			US 2003-473741P	P	20030528
			US 2003-485304P	P	20030703
			US 2003-629538	A2	20030729

OTHER SOURCE(S): CASREACT 142:373996; MARPAT 142:373996

AΒ A method for inhibiting and/or ameliorating the occurrence of diseases associated with reactive oxygen species, reactive nitrogen species, radicals and/or non-radicals in a subject whereby a subject is administered a carotenoid analog or derivative, e.g., I [X1 = (CR3:CR3)z-(E); z = 5 - 12; R3 = H, Me; Y = O, H2; R = OR1, R1; R1 = alkyl-+N(R2)3, aryl-+N(R2)3, alkyl-CO2-, (un)phosphorylated N-protonated amino acid, polyethylene glycol, dextran, H, alkyl, aryl; R2 = H, alkyl, aryl], either alone or in combination with another carotenoid analog or derivative, or co-antioxidant formulation. The analog or derivative is administered such that the subject's risk of experiencing diseases associated with reactive oxygen species, reactive nitrogen species, radicals and/or non-radicals may be thereby reduced. The analog or analog combination may be administered to a subject for the inhibition and/or amelioration of any disease that involves production of reactive oxygen species, reactive nitrogen species, radicals and/or non-radicals. In some embodiments, the invention may include a pharmaceutical composition including a carotenoid analog or

derivative

The carotenoid analog may include a conjugated polyene with between 7 to 14 double bonds. The conjugated polyene may include a cyclic ring including at least one substituent. In some embodiments, a cyclic ring of a carotenoid analog or derivative may include at least one substituent. The substituent may be coupled to the cyclic ring with an ester functionality. In some embodiments, a pharmaceutical composition may include a biol. inactive carrier. The pharmaceutical composition may be adapted to be administered to a human subject. Thus,  $(\pm)$ -Astaxanthin disuccinate disodium salt, was prepared, separated into pure stereoisomers, e.g., meso isomer [II; X2 =

<sup>\*</sup> STRUCTURE DIAGRAM TOO LARGE FOR DISPLAY - AVAILABLE VIA OFFLINE PRINT \*

CMe:CHCH:CHCCMe:CHCH:CMeCH:CHCH:CMe-(E)-all], and tested for: water solubility, radical cation formation, induction of connexin 43 protein expression, induction of intercellular gap junctional communication, direct superoxide anion scavenging as determined by EPR and bioavailability following oral administration.

IT 835885-11-5P 835885-12-6P

RL: SPN (Synthetic preparation); THU (Therapeutic use); BIOL (Biological study); PREP (Preparation); USES (Uses)

(pharmaceutical compns. including carotenoid ester analogs or derivs. for inhibition and amelioration of disease)

RN 835885-11-5 CAPLUS

CN  $\beta$ ,  $\beta$ -Carotene-4, 4'-dione,

3-(3-carboxy-1-oxopropoxy)-3'-[4-[4-[(1E)-2-(3,5-

dihydroxyphenyl)ethenyl]phenoxy]-1,4-dioxobutoxy]- (CA INDEX NAME)

Double bond geometry as shown.

PAGE 1-A

PAGE 1-C

\_\_CO2H

835885-12-6 CAPLUS RN CN  $\beta$ ,  $\beta$ -Carotene-4, 4'-dione, 3,3'-bis[4-[4-[(1E)-2-(3,5-dihydroxyphenyl)ethenyl]phenoxy]-1,4dioxobutoxy] - (CA INDEX NAME)

Double bond geometry as shown.

PAGE 1-C

PAGE 2-A

PAGE 2-B

PAGE 2-C

PAGE 3-A

OS.CITING REF COUNT: 3 THERE ARE 3 CAPLUS RECORDS THAT CITE THIS RECORD

(3 CITINGS)

REFERENCE COUNT: 424 THERE ARE 424 CITED REFERENCES AVAILABLE FOR

THIS RECORD. ALL CITATIONS AVAILABLE IN THE RE

FORMAT

L3 ANSWER 29 OF 43 CAPLUS COPYRIGHT 2009 ACS on STN

ACCESSION NUMBER: 2005:259647 CAPLUS

DOCUMENT NUMBER: 142:316980

TITLE: Pharmaceutical compositions including carotenoid ether

analogs or derivatives for the inhibition and

amelioration of disease

INVENTOR(S): Lockwood, Samuel Fournier; O'Malley, Sean; Watumull,

David G.; Hix, Laura M.; Jackson, Henry; Nadolski,

Geoii

PATENT ASSIGNEE(S): Cardax Pharmaceuticals, Inc., USA

SOURCE: U.S. Pat. Appl. Publ., 126 pp., Cont.-in-part of U.S.

Ser. No. 629,538.

CODEN: USXXCO

DOCUMENT TYPE: Patent LANGUAGE: English

FAMILY ACC. NUM. COUNT: 16

PATENT INFORMATION:

PATENT NO.	KIND	DATE	APPLICATION NO.	DATE
US 20050065096	A1	20050324	US 2004-793680	20040304
US 7375133	В2	20080520		
US 20040162329	A1	20040819	US 2003-629538	20030729
US 7145025	В2	20061205		
US 20050065097	A1	20050324	US 2004-793696	20040304

US 20050075337	A1	20050407	US	2004-793702		20040304
US 20060229446	A1	20061012	US	2006-357897		20060217
PRIORITY APPLN. INFO.:			US	2002-399194P	P	20020729
			US	2003-467973P	P	20030505
			US	2003-472831P	P	20030522
			US	2003-473741P	P	20030528
			US	2003-485304P	P	20030703
			US	2003-629538	A2	20030729
OTHER SOURCE(S): GI	CASRE	ACT 142:3169	80; I	MARPAT 142:316980	ı	

Ι

Carotenoid analogs, I,  $(n = 5-12; R3 = H \text{ or Me; } Y = O \text{ or } H2; X = O \text{ or$ AΒ phosphate, sulfate sugar, amine, alkyl, aryl, acid, etc.) for inhibiting and/or ameliorating the occurrence of diseases associated with reactive oxygen species, reactive nitrogen species, radicals and/or non-radicals in a subject whereby a subject is administered a carotenoid analog or derivative, either alone or in combination with another carotenoid analog or derivative, or co-antioxidant formulation are prepared and evaluated. Thus, astaxanthin in dichloromethane was treated with DIPEA, and succinic anhydride to yield the corresponding disuccinic acid ester. The analog or derivative is administered such that the subject's risk of experiencing diseases associated with reactive oxygen species, reactive nitrogen species, radicals and/or non-radicals may be thereby reduced. The analog or analog combination may be administered to a subject for the inhibition and/or amelioration of any disease that involves production of reactive oxygen species, reactive nitrogen species, radicals and/or non-radicals. In some embodiments, the invention may include a pharmaceutical composition including a carotenoid analog or derivative In some embodiments, a pharmaceutical composition may include a biol.

inactive carrier. The pharmaceutical composition may be adapted to be administered to a human subject.

IT 653566-06-4P 653566-07-5P

RL: PAC (Pharmacological activity); SPN (Synthetic preparation); THU (Therapeutic use); BIOL (Biological study); PREP (Preparation); USES (Uses)

(preparation of carotenoid analogs as antioxidants for the inhibition and amelioration of liver disease)

RN 653566-06-4 CAPLUS

CN  $\beta$ ,  $\beta$ -Carotene-4, 4'-dione,

3-(3-carboxy-1-oxopropoxy)-3'-[4-[4-[(1E)-2-(3,5-dihydroxyphenyl)ethenyl]phenoxy]-1,4-dioxobutoxy]-, (3S,3'S)- (9CI) (CA)

INDEX NAME)

Absolute stereochemistry. Double bond geometry as shown.

PAGE 1-A

PAGE 1-C

\_\_CO2H

RN 653566-07-5 CAPLUS CN  $\beta$ ,  $\beta$ -Carotene-4, 4'-dione, 3, 3'-bis[4-[4-[(1E)-2-(3,5-dihydroxyphenyl)ethenyl]phenoxy]-1, 4-dioxobutoxy]-, (3S,3'S)- (9CI) (CA INDEX NAME)

Absolute stereochemistry. Double bond geometry as shown.

PAGE 1-C

PAGE 2-A

Page 7708/20/200920/08/2009 <Page 7716:35>

PAGE 2-B

OS.CITING REF COUNT: 3 THERE ARE 3 CAPLUS RECORDS THAT CITE THIS RECORD (3 CITINGS)

L3 ANSWER 30 OF 43 CAPLUS COPYRIGHT 2009 ACS on STN

ACCESSION NUMBER: 2005:238691 CAPLUS

DOCUMENT NUMBER: 142:291360

TITLE: Carotenoid analogs or derivatives for controlling

c-reactive protein levels

INVENTOR(S): Lockwood, Samuel Fournier; O'Malley, Sean; Watumull,

David G.; Hix, Laura M.; Jackson, Henry; Nadolski,

Geoff

PATENT ASSIGNEE(S): USA

SOURCE: U.S. Pat. Appl. Publ., 138 pp., Cont.-in-part of U.S.

> Ser. No. 629,538. CODEN: USXXCO

DOCUMENT TYPE: Patent LANGUAGE: English

FAMILY ACC. NUM. COUNT: 16

PATENT INFORMATION:

PATENT NO.	KIND	DATE	APP	LICATION NO.		DATE
US 20050059659	A1	20050317		2004-793685	_	20040304
US 20040162329 US 7145025	A1 B2	20040819 20061205	US	2003-629538		20030729
US 20050065097	A1	20050324		2004-793696		20040304
US 20050075337 US 20060229446	A1 A1	20050407 20061012	0.0	2004-793702 2006-357897		20040304 20060217
PRIORITY APPLN. INFO.:				2002-399194P	Ρ	20020729
				2003-467973P 2003-472831P	P P	20030505
				2003-473741P	P	20030528
				2003-485304P 2003-629538	P A2	20030703 20030729

OTHER SOURCE(S): MARPAT 142:291360

A method of controlling (e.g., influencing or affecting) C-reactive protein levels in a subject may include administering to the subject an effective amount of a pharmaceutically acceptable formulation. The pharmaceutically acceptable formulation may include a synthetic analog or derivative of a carotenoid. The subject may be administered a carotenoid analog or derivative, either alone or in combination with another carotenoid analog or derivative, or co-antioxidant formulation. The carotenoid analog may include a conjugated polyene with between 7 to 14 double bonds. The conjugated polyene may include an acyclic alkene including at least one substituent and/or a cyclic ring including at least one substituent. In some embodiments, a carotenoid analog or derivative may include at least one substituent.

653566-07-5P 653566-06-4P

> RL: PAC (Pharmacological activity); SPN (Synthetic preparation); THU (Therapeutic use); BIOL (Biological study); PREP (Preparation); USES (Uses)

(carotenoid analogs or derivs. for controlling c-reactive protein expression)

RN 653566-06-4 CAPLUS

 $\beta$ ,  $\beta$ -Carotene-4, 4'-dione, CN

3-(3-carboxy-1-oxopropoxy)-3'-[4-[4-[(1E)-2-(3,5-

dihydroxyphenyl)ethenyl]phenoxy]-1,4-dioxobutoxy]-, (3S,3'S)- (9CI) (CA INDEX NAME)

Absolute stereochemistry.

Double bond geometry as shown.

PAGE 1-A

PAGE 1-C

\_\_CO2H

653566-07-5 CAPLUS RN  $\beta$ ,  $\beta$ -Carotene-4, 4'-dione, CN

3,3'-bis[4-[4-[(1E)-2-(3,5-dihydroxyphenyl)ethenyl]phenoxy]-1,4dioxobutoxy]-, (3S,3'S)- (9CI) (CA INDEX NAME)

Absolute stereochemistry. Double bond geometry as shown.

PAGE 1-C

PAGE 2-A

PAGE 2-B

OS.CITING REF COUNT: 3 THERE ARE 3 CAPLUS RECORDS THAT CITE THIS RECORD (3 CITINGS)

L3 ANSWER 31 OF 43 CAPLUS COPYRIGHT 2009 ACS on STN

ACCESSION NUMBER: 2005:238683 CAPLUS

DOCUMENT NUMBER: 142:291448

TITLE: Carotenoid ester analogs or derivatives for

controlling c-reactive protein levels

INVENTOR(S): Lockwood, Samuel Fournier; O'Malley, Sean; Watumull,

David G.; Hix, Laura M.; Jackson, Henry; Nadolski,

Geoff

PATENT ASSIGNEE(S): USA

SOURCE: U.S. Pat. Appl. Publ., 134 pp., Cont.-in-part of U.S.

Ser. No. 629,538.

CODEN: USXXCO

DOCUMENT TYPE: Patent LANGUAGE: English

FAMILY ACC. NUM. COUNT: 16

PATENT INFORMATION:

PATENT NO.	KIND	DATE	APPLICATION NO.		DATE
US 20050059635 US 20040162329	A1 A1	20050317	US 2004-793691 US 2003-629538	_	20040304
US 7145025	B2	20061205	05 2003 023330		20030723
US 20050065097	A1	20050324	US 2004-793696		20040304
US 20050075337	A1	20050407	US 2004-793702		20040304
US 20060229446	A1	20061012	US 2006-357897		20060217
PRIORITY APPLN. INFO.:			US 2002-399194P	Р	20020729
			US 2003-467973P	Р	20030505
			US 2003-472831P	Ρ	20030522
			US 2003-473741P	Ρ	20030528
			US 2003-485304P	P	20030703
			US 2003-629538	A2	20030729

## MARPAT 142:291448 OTHER SOURCE(S):

- A method of controlling (e.g., influencing or affecting) C-reactive protein levels in a subject may include administering to the subject an effective amount of a pharmaceutically acceptable formulation. The pharmaceutically acceptable formulation may include a synthetic analog or derivative of a carotenoid. The subject may be administered a carotenoid analog or derivative, either alone or in combination with another carotenoid analog or derivative, or co-antioxidant formulation. The carotenoid analog may include a conjugated polyene with between 7 to 14 double bonds. The conjugated polyene may include a cyclic ring including at least one substituent. In some embodiments, a cyclic ring of a carotenoid analog or derivative may include at least one substituent. The substituent may be coupled to the cyclic ring with an ester functionality.
- ΤТ 653566-06-4P 653566-07-5P
  - RL: PAC (Pharmacological activity); SPN (Synthetic preparation); THU (Therapeutic use); BIOL (Biological study); PREP (Preparation); USES
    - (carotenoid ester analogs or derivs. for controlling c-reactive protein expression)
- RM653566-06-4 CAPLUS
- CN  $\beta$ ,  $\beta$ -Carotene-4, 4'-dione,
  - 3-(3-carboxy-1-oxopropoxy)-3'-[4-[4-[4-[(1E)-2-(3,5dihydroxyphenyl)ethenyl]phenoxy]-1,4-dioxobutoxy]-, (3S,3'S)- (9CI) (CA INDEX NAME)

Absolute stereochemistry. Double bond geometry as shown.

PAGE 1-A

PAGE 1-C

\_\_CO2H

RN 653566-07-5 CAPLUS

CN  $\beta$ ,  $\beta$ -Carotene-4, 4'-dione, 3,3'-bis[4-[4-[(1E)-2-(3,5-dihydroxyphenyl)ethenyl]phenoxy]-1,4-dioxobutoxy]-, (3S,3'S)- (9CI) (CA INDEX NAME)

Absolute stereochemistry. Double bond geometry as shown.

PAGE 2-A

PAGE 2-B

OS.CITING REF COUNT: 3 THERE ARE 3 CAPLUS RECORDS THAT CITE THIS RECORD (3 CITINGS)

L3 ANSWER 32 OF 43 CAPLUS COPYRIGHT 2009 ACS on STN

ACCESSION NUMBER: 2005:185383 CAPLUS

DOCUMENT NUMBER: 142:261669

TITLE: Carotenoid ether analogs or derivatives for

controlling c-reactive protein levels

INVENTOR(S): Lockwood, Samuel Fournier; O'Malley, Sean; Watumull,

David G.; Hix, Laura M.; Jackson, Henry; Nadolski,

Geoff

PATENT ASSIGNEE(S): USA

SOURCE: U.S. Pat. Appl. Publ., 126 pp., Cont.-in-part of U.S.

Ser. No. 629,538.

CODEN: USXXCO

DOCUMENT TYPE: Patent LANGUAGE: English

FAMILY ACC. NUM. COUNT: 16

PATENT INFORMATION:

PATENT NO.	KIND	DATE	APPLICATION NO.		DATE	
US 20050049248	A1	20050303	US	 2004-793676		20040304
US 20040162329	A1	20040819	US	2003-629538		20030729
US 7145025	B2	20061205				
US 20050065097	A1	20050324	US	2004-793696		20040304
US 20050075337	A1	20050407	US	2004-793702		20040304
US 20060229446	A1	20061012	US	2006-357897		20060217
PRIORITY APPLN. INFO.:			US	2002-399194P	P	20020729
			US	2003-467973P	P	20030505
			US	2003-472831P	P	20030522
			US	2003-473741P	P	20030528
			US	2003-485304P	P	20030703
			US	2003-629538	A2	20030729

OTHER SOURCE(S): CASREACT 142:261669; MARPAT 142:261669

GΙ

ΤT

AB The preparation and evaluation of carotenoid derivs. I (X = phosphate, sulfate, sugar, amine, amino acid, polyethylene glycol, aryl, etc.; R3 = independently H or Me; Y = 0, H2; n = 5-12) for controlling C-reactive protein levels is described. Thus, astaxanthin is treated with succinic anhydride and DIPEA in CH2Cl2 to give the corresponding disuccinic ester. The subject may be administered a carotenoid analog or derivative, either alone or in combination with another carotenoid analog or derivative, or

co-antioxidant formulation. 653566-06-4P 653566-07-5P

RL: PAC (Pharmacological activity); SPN (Synthetic preparation); THU (Therapeutic use); BIOL (Biological study); PREP (Preparation); USES (Uses)

Ι

(preparation of carotenoid analogs as antioxidants for the inhibition and amelioration of liver disease)

RN 653566-06-4 CAPLUS

CN  $\beta$ ,  $\beta$ -Carotene-4, 4'-dione,

3-(3-carboxy-1-oxopropoxy)-3'-[4-[4-[(1E)-2-(3,5-dihydroxyphenyl)ethenyl]phenoxy]-1,4-dioxobutoxy]-, (3S,3'S)- (9CI) (CA INDEX NAME)

Page 8708/20/200920/08/2009 < Page 8716:35>

Absolute stereochemistry. Double bond geometry as shown.

PAGE 1-A

PAGE 1-C

\_\_CO2H

RN 653566-07-5 CAPLUS CN  $\beta$ ,  $\beta$ -Carotene-4, 4'-dione, 3,3'-bis[4-[4-[(1E)-2-(3,5-dihydroxyphenyl)ethenyl]phenoxy]-1,4-dioxobutoxy]-, (3S,3'S)- (9CI) (CA INDEX NAME)

Absolute stereochemistry.

Double bond geometry as shown.

PAGE 1-C

PAGE 2-A

PAGE 2-B

OS.CITING REF COUNT: 3 THERE ARE 3 CAPLUS RECORDS THAT CITE THIS RECORD (3 CITINGS)

L3 ANSWER 33 OF 43 CAPLUS COPYRIGHT 2009 ACS on STN

ACCESSION NUMBER: 2005:99144 CAPLUS

DOCUMENT NUMBER: 142:198233

TITLE: Preparation of carotenoid ether analogs or derivatives

for the inhibition and amelioration of liver disease INVENTOR(S):

Lockwood, Samuel Fournier; O'Malley, Sean; Watumull,

David G.; Hix, Laura M.; Jackson, Henry; Nadolski,

Geoff

PATENT ASSIGNEE(S): USA

10/597,335 08/20/2009 STN: SEARCH

U.S. Pat. Appl. Publ., 130 pp., Cont.-in-part of U.S. SOURCE:

Ser. No. 629,538.

CODEN: USXXCO

DOCUMENT TYPE: Patent LANGUAGE: English

FAMILY ACC. NUM. COUNT: 16

PATENT INFORMATION:

PATENT NO.	KIND	DATE	APPLICATION NO.			DATE
US 20050026874	A1	20050203		2004-793681	_	20040304
US 20040162329 US 7145025	A1 B2	20040819 20061205		2003-629538		20030729
US 20050065097 US 20050075337	A1 A1	20050324 20050407		2004-793696 2004-793702		20040304
US 20060229446	A1	20061012		2006-357897	Б	20060217
PRIORITY APPLN. INFO.:			0.0	2002-399194P 2003-467973P	P P	20020729 20030505
				2003-472831P	Ρ	20030522
				2003-473741P 2003-485304P	P P	20030528 20030703
			US	2003-629538	A2	20030729

OTHER SOURCE(S): CASREACT 142:198233; MARPAT 142:198233

A method of treating liver disease in a subject. The method may include administering to the subject an effective amount of a pharmaceutically acceptable formulation. The pharmaceutically acceptable formulation may include a synthetic analog or derivative I  $[Z = \{CR3:CR3-(E)\}z; z = 5 - 12; R3]$ = H, Me; Y = O, H2; X = P(:O)(OR1)2, S(:O)(OR1)2, X', alkyl-N+(R2)3, aryl-N+(R2)3, alkyl-CO2-, aryl-CO2-, N-protonated amino acid, phosphorylated N-protonated amino acid, polyethylene glycol, dextran, vitamin C, phosphorylated vitamin C, aryl; R1 = alkyl-N+(R2)3, aryl-N+(R2)3, alkyl-CO2-, aryl-CO2-, N-protonated amino acid, phosphorylated N-protonated amino acid, polyethylene glycol, dextran, H, alkyl, aryl, alkali salt; R2 = H, alkyl, aryl; (wherein X enhances the solubility of I allowing at least partial water solubility)] of a carotenoid.

The

subject may be administered a carotenoid analog or derivative, either alone or in combination with another carotenoid analog or derivative, or co-antioxidant formulation. The carotenoid analog may include a conjugated polyene with between 7 to 14 double bonds. The conjugated polyene may include a cyclic ring including at least one substituent. In some embodiments, a cyclic ring of a carotenoid analog or derivative may include at least one substituent. The substituent may be coupled to the cyclic ring with an ether functionality. Thus, astaxanthin disuccinate ascorbate diester was prepared from astaxanthin via acylation with succinic anhydride in CH2Cl2 containing EtNH(CHMe2)2 and catalytic DMAP followed by reaction with 2-O-(tert-butyldimethylsilyl)ascorbic acid in CH2Cl2 containing DMAP and EDCI·HCl. Astaxanthin disuccinate disodium salt was tested for its water solubility, ability to induce Connexin 43 protein expression, induce intercellular gap junction communication, inhibition of carcinogen-induced

<sup>\*</sup> STRUCTURE DIAGRAM TOO LARGE FOR DISPLAY - AVAILABLE VIA OFFLINE PRINT \*

neoplastic transformation, reduce superoxides in neutrophils, and its plasma pharmacokinetics.

IT 835885-11-5P 835885-12-6P

RL: PAC (Pharmacological activity); SPN (Synthetic preparation); THU (Therapeutic use); BIOL (Biological study); PREP (Preparation); USES (Uses)

(preparation of carotenoid analogs or derivs. for the inhibition and amelioration of liver disease)

RN 835885-11-5 CAPLUS

CN  $\beta$ ,  $\beta$ -Carotene-4, 4'-dione,

3-(3-carboxy-1-oxopropoxy)-3'-[4-[4-[(1E)-2-(3,5-

dihydroxyphenyl)ethenyl]phenoxy]-1,4-dioxobutoxy]- (CA INDEX NAME)

Double bond geometry as shown.

PAGE 1-A

PAGE 1-C

\_\_CO2H

RN 835885-12-6 CAPLUS CN  $\beta$ ,  $\beta$ -Carotene-4, 4'-dione, 3,3'-bis[4-[4-[(1E)-2-(3,5-dihydroxyphenyl)ethenyl]phenoxy]-1,4dioxobutoxy] - (CA INDEX NAME)

Double bond geometry as shown.

PAGE 1-C

PAGE 2-A

PAGE 2-B

PAGE 2-C

PAGE 3-A

OS.CITING REF COUNT: 3 THERE ARE 3 CAPLUS RECORDS THAT CITE THIS RECORD (3 CITINGS)

ACCESSION NUMBER: 2005:69618 CAPLUS

DOCUMENT NUMBER: 142:336166

TITLE: Chemo-enzymatic synthesis and cell-growth inhibition

activity of resveratrol analogues

AUTHOR(S): Cardile, Venera; Lombardo, Laura; Spatafora, Carmela;

Tringali, Corrado

ANSWER 34 OF 43 CAPLUS COPYRIGHT 2009 ACS on STN

CORPORATE SOURCE: Dipartimento di Scienze Fisiologiche, Universita di

Catania, Catania, 95125, Italy

SOURCE: Bioorganic Chemistry (2005), 33(1), 22-33

CODEN: BOCMBM; ISSN: 0045-2068

PUBLISHER: Elsevier
DOCUMENT TYPE: Journal
LANGUAGE: English

OTHER SOURCE(S): CASREACT 142:336166

GΙ

L3

The stilbenoid resveratrol was subjected to regionelective acetylation AB catalyzed by Candida antarctica lipase (CAL) to obtain 4'-acetylresveratrol. CAL biocatalyzed regioselective alcoholysis of 3,5,4'-triacetylresveratrol, 3,5,4'-tributanoylresveratrol, and 3, 4, 5'-trioctanoylresveratrol afforded various derivs. Further resveratrol analogs were obtained through methylation and hydrogenation reactions, whereas the 3,4,4'-trimethoxystilbene was obtained by complete synthesis. Resveratrol and its lipophylic analogs were subjected to cell-growth inhibition bioassays towards DU-145 human prostate cancer cells. Compds. showed cell-growth inhibition activity comparable to or higher than resveratrol (GI50 = 24.09  $\mu M$ ), displaying low or very low toxicity against non-tumorigenic human fibroblast cells. Comparison of the trimethoxy stilbenes I [R1, R4 = Me, R2 = OMe, R3 = H (II)] (GI50 = 2.92 $\mu$ M) and I [R1, R4 = Me, R2 = H, R3 = OMe] (GI50 = 25.39  $\mu$ M) indicates that the position of the substituents is important for the activity. The marked activity of Me ethers II, I [R1 = Me, R2 = OMe, R3, R4 = H], III and in comparison with that of the corresponding esters suggests that the different chemical reactivity, rather than steric factors, strongly influences the activity.

IT 411233-11-9P

RL: BPN (Biosynthetic preparation); BSU (Biological study, unclassified); RCT (Reactant); BIOL (Biological study); PREP (Preparation); RACT (Reactant or reagent)

(chemo-enzymic preparation and cell-growth inhibition activity of resveratrol analogs against androgen-non-responsive DU-145 human prostate cancer cells)

RN 411233-11-9 CAPLUS

CN 1,3-Benzenediol, 5-[(1E)-2-[4-(acetyloxy)phenyl]ethenyl]- (CA INDEX NAME)

Double bond geometry as shown.

OS.CITING REF COUNT: 22 THERE ARE 22 CAPLUS RECORDS THAT CITE THIS

RECORD (22 CITINGS)

REFERENCE COUNT: 31 THERE ARE 31 CITED REFERENCES AVAILABLE FOR THIS

RECORD. ALL CITATIONS AVAILABLE IN THE RE FORMAT

L3 ANSWER 35 OF 43 CAPLUS COPYRIGHT 2009 ACS on STN

ACCESSION NUMBER: 2005:34616 CAPLUS

DOCUMENT NUMBER: 142:114303

TITLE: Carotenoid ester analogs or derivatives for

controlling connexin 43 expression

INVENTOR(S): Lockwood, Samuel Fournier; O'Malley, Sean; Watumull,

David G.; Hix, Laura M.; Jackson, Henry; Nadolski,

Geoff

USA PATENT ASSIGNEE(S):

SOURCE: U.S. Pat. Appl. Publ., 135 pp., Cont.-in-part of U.S.

Ser. No. 629,538.

CODEN: USXXCO

DOCUMENT TYPE: Patent LANGUAGE: English

FAMILY ACC. NUM. COUNT: 16

PATENT INFORMATION:

PATENT NO.	KIND	DATE	APPLICATION NO.			DATE
US 20050009930 US 20040162329 US 7145025 US 20050065097	A1 A1 B2 A1	20050113 20040819 20061205 20050324	US	2004-793686 2003-629538 2004-793696		20040304 20030729 20040304
US 20050075337 US 20060229446 PRIORITY APPLN. INFO.:	A1 A1	20050407 20061012	US	2004-793702 2006-357897 2002-399194P	P	20040304 20060217 20020729
TRIORITI METER. INTO			US US US	2003-467973P 2003-472831P 2003-473741P 2003-485304P	P P P	20030505 20030522 20030528 20030703
			US	2003-629538	A2	20030729

OTHER SOURCE(S): MARPAT 142:114303

AΒ The preparation and evaluation of carotenoid derivs. I (R1, R2 = independently an acyclic alkene comprising at least one substituent, or a cyclic ring comprising at least one substituent; R3 = independently H or Me; n = 5-12)as inhibitors of connexin 43 expression for the treatment of cardiac arrhythmia and cancers. Thus, astaxanthin in CH2Cl2 was treated with DIPEA and succinic andhydride to yield the corresponding disuccinic ester.

RL: PAC (Pharmacological activity); SPN (Synthetic preparation); THU (Therapeutic use); BIOL (Biological study); PREP (Preparation); USES (Uses)

(preparation of carotenoid analogs as antioxidants for the inhibition and amelioration of liver disease)

653566-06-4 CAPLUS RN

653566-06-4P

 $\beta$ ,  $\beta$ -Carotene-4, 4'-dione, CN

3-(3-carboxy-1-oxopropoxy)-3'-[4-[4-[(1E)-2-(3,5-

653566-07-5P

dihydroxyphenyl)ethenyl]phenoxy]-1,4-dioxobutoxy]-, (3S,3'S)- (9CI) (CA INDEX NAME)

Absolute stereochemistry.

Double bond geometry as shown.

PAGE 1-A

PAGE 1-C

\_\_CO2H

RN 653566-07-5 CAPLUS CN  $\beta$ ,  $\beta$ -Carotene-4, 4'-dione, 3,3'-bis[4-[4-[(1E)-2-(3,5-dihydroxyphenyl)ethenyl]phenoxy]-1,4-dioxobutoxy]-, (3S,3'S)- (9CI) (CA INDEX NAME)

Absolute stereochemistry. Double bond geometry as shown.

PAGE 1-C

PAGE 2-A

PAGE 2-B

OS.CITING REF COUNT: 3 THERE ARE 3 CAPLUS RECORDS THAT CITE THIS RECORD (3 CITINGS)

L3 ANSWER 36 OF 43 CAPLUS COPYRIGHT 2009 ACS on STN

ACCESSION NUMBER: 2005:34594 CAPLUS

DOCUMENT NUMBER: 142:114302

TITLE: Carotenoid ester analogs or derivatives for

controlling connexin 43 expression

INVENTOR(S): Lockwood, Samuel Fournier; O'Malley, Sean; Watumull,

David G.; Hix, Laura M.; Jackson, Henry; Nadolski,

Geoff

PATENT ASSIGNEE(S): USA

SOURCE: U.S. Pat. Appl. Publ., 133 pp., Cont.-in-part of U.S.

Ser. No. 629,538.

CODEN: USXXCO

DOCUMENT TYPE: Patent. LANGUAGE: English

FAMILY ACC. NUM. COUNT: 16

PATENT INFORMATION:

PATENT NO.	KIND	DATE	APPLICATION NO.		DATE
US 20050009788	A1	20050113	US 2004-793697		20040304
US 20040162329	A1	20040819	US 2003-629538		20030729
US 7145025	В2	20061205			
US 20050065097	A1	20050324	US 2004-793696		20040304
US 20050075337	A1	20050407	US 2004-793702		20040304
US 20060229446	A1	20061012	US 2006-357897		20060217
PRIORITY APPLN. INFO.:			US 2002-399194P	P	20020729
			US 2003-467973P	P	20030505
			US 2003-472831P	Р	20030522
			US 2003-473741P	P	20030528
			US 2003-485304P	P	20030703
			US 2003-629538	A2	20030729

MARPAT 142:114302 OTHER SOURCE(S):

GΙ

AB The preparation and evaluation of carotenoid derivs. I (R1, R2 = independently an acyclic alkene comprising at least one substituent, or a cyclic ring comprising at least one substituent; R3 = independently H or Me; n = 5-12)as inhibitors of connexin 43 expression for the treatment of cardiac arrhythmia and cancers. Thus, astaxanthin in CH2Cl2 was treated with DIPEA and succinic andhydride to yield the corresponding disuccinic ester. 653566-06-4P 653566-07-5P

RL: PAC (Pharmacological activity); SPN (Synthetic preparation); THU (Therapeutic use); BIOL (Biological study); PREP (Preparation); USES

(preparation of carotenoid analogs as antioxidants for the inhibition and amelioration of liver disease)

653566-06-4 CAPLUS RN

 $\beta$ ,  $\beta$ -Carotene-4, 4'-dione, CN 3-(3-carboxy-1-oxopropoxy)-3'-[4-[4-[(1E)-2-(3,5dihydroxyphenyl)ethenyl]phenoxy]-1,4-dioxobutoxy]-, (3S,3'S)- (9CI) (CA INDEX NAME)

Absolute stereochemistry. Double bond geometry as shown.

PAGE 1-A

PAGE 1-C

\_\_CO2H

653566-07-5 CAPLUS RN

 $\beta$ ,  $\beta$ -Carotene-4, 4'-dione, CN 3,3'-bis[4-[4-[(1E)-2-(3,5-dihydroxyphenyl)ethenyl]phenoxy]-1,4dioxobutoxy]-, (3S,3'S)- (9CI) (CA INDEX NAME)

Absolute stereochemistry. Double bond geometry as shown.

PAGE 1-C

PAGE 2-A

PAGE 2-B

OS.CITING REF COUNT: 3 THERE ARE 3 CAPLUS RECORDS THAT CITE THIS RECORD (3 CITINGS)

L3 ANSWER 37 OF 43 CAPLUS COPYRIGHT 2009 ACS on STN

ACCESSION NUMBER: 2005:34587 CAPLUS

DOCUMENT NUMBER: 142:114301

TITLE: Carotenoid ether analogs or derivatives for the

inhibition and amelioration of diseases associated

with reactive radical species

INVENTOR(S): Lockwood, Samuel Fournier; O'Malley, Sean; Watumull,

David G.; Hix, Laura M.; Jackson, Henry; Nadolski,

Geoff

PATENT ASSIGNEE(S): Cardax Pharmaceuticals, Inc., USA

10/597,335 08/20/2009

STN: SEARCH

SOURCE: U.S. Pat. Appl. Publ., 125 pp., Cont.-in-part of U.S.

Ser. No. 629,538.

CODEN: USXXCO

Ι

DOCUMENT TYPE: Patent LANGUAGE: English

FAMILY ACC. NUM. COUNT:

PATENT INFORMATION:

PATENT NO.	KIND	DATE	APPLICATION APPLIC	ON NO.		DATE
US 20050009758	A1	20050113	US 2004-79	93671	_	20040304
US 7345091	В2	20080318				
US 20040162329	A1	20040819	US 2003-6	29538		20030729
US 7145025	В2	20061205				
US 20050065097	A1	20050324	US 2004-79	93696		20040304
US 20050075337	A1	20050407	US 2004-79	93702		20040304
US 20060229446	A1	20061012	US 2006-3	57897		20060217
PRIORITY APPLN. INFO.:			US 2002-39	99194P	P	20020729
			US 2003-4	67973P	P	20030505
			US 2003-4	72831P	P	20030522
			US 2003-4	73741P	Р	20030528
			US 2003-4	35304P	P	20030703
			US 2003-6	29538	Α2	20030729

OTHER SOURCE(S): MARPAT 142:114301

Me Me 
$$R^3$$
  $R^3$   $R^3$   $R^3$  Me Me

A method for inhibiting and/or ameliorating the occurrence of diseases associated with reactive oxygen species, reactive nitrogen species, radicals and/or non-radicals in a subject whereby a subject is administered a carotenoid analog or derivative of structure I (n = 5-12; R3 = H or Me; Y = O or H2, X = phosphate, sulfate, sugar, amine alkyl, acid, etc.) either alone or in combination with another carotenoid analog or derivative, or co-antioxidant formulation. Thus, astaxanthin is treated with succinic anhydride and DIPEA to yield the corresponding disuccinic acid ester. The analog or derivative is administered such that the subject's risk of experiencing diseases associated with reactive oxygen species, reactive nitrogen species, radicals and/or non-radicals may be thereby reduced. The analog or analog combination may be administered to a subject for the inhibition and/or amelioration of any disease that involves production of reactive oxygen species, reactive nitrogen species, radicals and/or non-radicals.

ΤТ 653566-06-4P 653566-07-5P

> RL: PAC (Pharmacological activity); SPN (Synthetic preparation); THU (Therapeutic use); BIOL (Biological study); PREP (Preparation); USES

(preparation of carotenoid analogs as antioxidants for the inhibition and amelioration of liver disease)

653566-06-4 CAPLUS RN

 $\beta$ ,  $\beta$ -Carotene-4, 4'-dione, CN

3-(3-carboxy-1-oxopropoxy)-3'-[4-[4-[(1E)-2-(3,5dihydroxyphenyl)ethenyl]phenoxy]-1,4-dioxobutoxy]-, (3S,3'S)- (9CI) (CA INDEX NAME)

Absolute stereochemistry. Double bond geometry as shown.

PAGE 1-A

PAGE 1-C

\_\_CO2H

653566-07-5 CAPLUS RN CN  $\beta$ ,  $\beta$ -Carotene-4, 4'-dione, 3,3'-bis[4-[4-[(1E)-2-(3,5-dihydroxyphenyl)ethenyl]phenoxy]-1,4dioxobutoxy]-, (3S,3'S)- (9CI) (CA INDEX NAME)

Absolute stereochemistry. Double bond geometry as shown.

PAGE 1-C

PAGE 2-B

PAGE 2-C

PAGE 3-A

OS.CITING REF COUNT: 3 THERE ARE 3 CAPLUS RECORDS THAT CITE THIS RECORD

(3 CITINGS)

REFERENCE COUNT: 422 THERE ARE 422 CITED REFERENCES AVAILABLE FOR

THIS RECORD. ALL CITATIONS AVAILABLE IN THE RE

FORMAT

L3 ANSWER 38 OF 43 CAPLUS COPYRIGHT 2009 ACS on STN

ACCESSION NUMBER: 2005:29238 CAPLUS

DOCUMENT NUMBER: 142:127624

TITLE: Compositions for manipulating the lifespan and stress

response of cells and organisms

INVENTOR(S): Sinclair, David A.; Howitz, Konrad T.; Zipkin, Robert

E.

PATENT ASSIGNEE(S): President and Fellows of Harvard College, USA; Biomol

International L.P.

SOURCE: PCT Int. Appl., 224 pp.

CODEN: PIXXD2

DOCUMENT TYPE: Patent LANGUAGE: English

FAMILY ACC. NUM. COUNT: 3

PATENT INFORMATION:

PATENT NO.	KIND DATE	E APPL	ICATION NO.	DATE
WO 2005002672	A2 2005	50113 WO 2	004-US21465	20040701
WO 2005002672	A3 2005	51110		
W: AE, AG, AL,	AM, AT, AU,	AZ, BA, BB,	BG, BR, BW, BY	Y, BZ, CA, CH,
CN, CO, CR,	CU, CZ, DE,	DK, DM, DZ,	EC, EE, EG, ES	S, FI, GB, GD,
GE, GH, GM,	HR, HU, ID,	IL, IN, IS,	JP, KE, KG, KE	P, KR, KZ, LC,
LK, LR, LS,	LT, LU, LV,	MA, MD, MG,	MK, MN, MW, MX	K, MZ, NA, NI,
NO, NZ, OM,	PG, PH, PL,	PT, RO, RU,	SC, SD, SE, SC	G, SK, SL, SY,

TJ, TM, TN, TR, TT, TZ, UA, UG, US, UZ, VC, VN, YU, ZA, ZM, ZW RW: BW, GH, GM, KE, LS, MW, MZ, NA, SD, SL, SZ, TZ, UG, ZM, ZW, AM, AZ, BY, KG, KZ, MD, RU, TJ, TM, AT, BE, BG, CH, CY, CZ, DE, DK, EE, ES, FI, FR, GB, GR, HU, IE, IT, LU, MC, NL, PL, PT, RO, SE, SI, SK, TR, BF, BJ, CF, CG, CI, CM, GA, GN, GQ, GW, ML, MR, NE, SN, TD, TG AU 2004253579 AU 2004-253579 Α1 20050113 20040701 CA 2529510 20050113 CA 2004-2529510 20040701 Α1 US 20060084135 20060420 US 2004-884062 20040701 Α1 20060426 EP 2004-777536 20040701 EP 1648437 Α2 AT, BE, CH, DE, DK, ES, FR, GB, GR, IT, LI, LU, NL, SE, MC, PT, IE, SI, LT, LV, FI, RO, MK, CY, AL, TR, BG, CZ, EE, HU, PL, SK, HR 20071101 JP 2006-518817 JP 2007530417 Т 20040701 JP 2007326872 20071220 JP 2007-203287 Α 20070803 PRIORITY APPLN. INFO.: US 2003-483949P P 20030701 P 20031223 US 2003-532158P JP 2006-518817 A3 20040701 WO 2004-US21465 W 20040701

AB Provided herein are methods and compns. for modulating the activity of sirtuin deacetylase protein family members; p53 activity; apoptosis; lifespan and sensitivity to stress of cells and organisms. Exemplary methods comprise contacting a cell with an activating compound, such as a flavone, stilbene, flavanone, isoflavone, catechin, chalcone, tannin or anthocyanidin; or an inhibitory compound, such as a sphingolipid, e.g., sphingosine.

IT 411233-11-9, BML 221

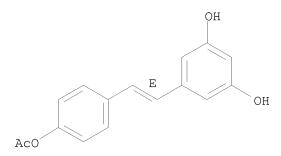
RL: PAC (Pharmacological activity); THU (Therapeutic use); BIOL (Biological study); USES (Uses)

(sirtuin deacetylase-modulating compns. for manipulating the lifespan and stress response of cells and organisms)

RN 411233-11-9 CAPLUS

CN 1,3-Benzenediol, 5-[(1E)-2-[4-(acetyloxy)phenyl]ethenyl]- (CA INDEX NAME)

Double bond geometry as shown.



OS.CITING REF COUNT: 5 THERE ARE 5 CAPLUS RECORDS THAT CITE THIS RECORD

(5 CITINGS)

REFERENCE COUNT: 4 THERE ARE 4 CITED REFERENCES AVAILABLE FOR THIS RECORD. ALL CITATIONS AVAILABLE IN THE RE FORMAT

L3 ANSWER 39 OF 43 CAPLUS COPYRIGHT 2009 ACS on STN

ACCESSION NUMBER: 2005:17025 CAPLUS

DOCUMENT NUMBER: 142:94006

TITLE: Carotenoid analogs or derivatives for the inhibition

and amelioration of liver disease

INVENTOR(S): Lockwood, Samuel Fournier; O'Malley, Sean; Watumull,

David G.; Hix, Laura M.; Jackson, Henry; Nadolski,

Geoff

PATENT ASSIGNEE(S): USA

SOURCE: U.S. Pat. Appl. Publ., 140 pp., Cont.-in-part of U.S.

Ser. No. 629,538.

CODEN: USXXCO

DOCUMENT TYPE: Patent LANGUAGE: English

FAMILY ACC. NUM. COUNT: 16

PATENT INFORMATION:

PATENT NO.	KIND	DATE	APPLICATION NO.		DATE		
US 20050004235 US 20040162329	A1 A1	20050106	US 2004-793675 US 2003-629538		20040304		
US 7145025	B2	20061205	05 1000 013000		20000723		
US 20050065097	A1	20050324	US 2004-793696		20040304		
US 20050075337 US 20060229446	A1 A1	20050407 20061012	US 2004-793702 US 2006-357897		20040304		
PRIORITY APPLN. INFO.:			US 2002-399194P	P	20020729		
			US 2003-467973P	P	20030505		
			US 2003-472831P	P	20030522		
			US 2003-473741P US 2003-485304P	P P	20030703		
			US 2003-629538	A2	20030729		

OTHER SOURCE(S): MARPAT 142:94006

GΙ

AB The preparation and evaluation of carotenoid derivs. I (R1, R2 = independently an acyclic alkene comprising at least one substituent, or a cyclic ring comprising at least one substituent; R3 = independently H or Me; n = 5-12) as antioxidants for the treatment of liver disease is described. Thus, astaxanthin in CH2Cl2 was treated with DIPEA and succinic andhydride to yield II.

IT 653566-06-4P 653566-07-5P

RL: PAC (Pharmacological activity); SPN (Synthetic preparation); THU (Therapeutic use); BIOL (Biological study); PREP (Preparation); USES (Uses)

(preparation of carotenoid analogs as antioxidants for the inhibition and amelioration of liver disease)

653566-06-4 CAPLUS RN

 $\beta$ ,  $\beta$ -Carotene-4, 4'-dione, CN

> 3-(3-carboxy-1-oxopropoxy)-3'-[4-[4-[4-[(1E)-2-(3,5dihydroxyphenyl)ethenyl]phenoxy]-1,4-dioxobutoxy]-, (3S,3'S)- (9CI) (CA INDEX NAME)

Absolute stereochemistry. Double bond geometry as shown.

PAGE 1-A

PAGE 1-C

\_\_CO2H

653566-07-5 CAPLUS RN CN  $\beta$ ,  $\beta$ -Carotene-4, 4'-dione, 3,3'-bis[4-[4-[(1E)-2-(3,5-dihydroxyphenyl)ethenyl]phenoxy]-1,4dioxobutoxy]-, (3S,3'S)- (9CI) (CA INDEX NAME)

Absolute stereochemistry. Double bond geometry as shown.

PAGE 1-C

PAGE 2-B

PAGE 2-C

PAGE 3-A

HO. OH

THERE ARE 3 CAPLUS RECORDS THAT CITE THIS RECORD OS.CITING REF COUNT: 3 (3 CITINGS)

ANSWER 40 OF 43 CAPLUS COPYRIGHT 2009 ACS on STN

2004:1059858 CAPLUS ACCESSION NUMBER:

DOCUMENT NUMBER: 142:176350

TITLE: Hydrogen atom abstraction from resveratrol and two

lipophilic derivatives by tert-butoxyl radicals. A

laser flash photolysis study.

AUTHOR(S): Petralia, Salvatore; Spatafora, Carmela; Tringali,

Corrado; Foti, Mario C.; Sortino, Salvatore

CORPORATE SOURCE: Dipartimento di Scienze Chimiche, Universita degli

Studi di Catania, Catania, I-95125, Italy

SOURCE: New Journal of Chemistry (2004), 28(12), 1484-1487

CODEN: NJCHE5; ISSN: 1144-0546

PUBLISHER: Royal Society of Chemistry

Journal DOCUMENT TYPE: LANGUAGE: English

The reactions of tert-butoxyl radicals with resveratrol (1) and two AΒ acetylated derivs. (2 and 3) have been investigated by laser flash photolysis techniques in 1:2 (volume/volume) benzene-di-tert-Bu peroxide at room temperature The transient absorption spectra of the phenoxyl radicals generated upon H atom abstraction by tert-butoxyl radicals from the phenols have been detected and assigned. The absolute rate consts. for these reactions have been evaluated to be 45 + 107, 25 + 107 and 4 + 107 M-1 s-1 for 1, 2 and 3, resp. The order of reactivity 1  $\,$  $\geq$  2 » 3 has been rationalized in terms of the position and effect of the acetyl groups on the aromatic rings. Of the three OH groups present in resveratrol, the one in position 4' appears to be the most reactive due to the large stability of the corresponding phenoxyl radical by conjugation with the rings. However, in our system, the

H-atom-donating ability of resveratrol turns out to be inferior to that of  $\alpha\text{-tocopherol}$  by ca. one order of magnitude.

IT 411233-11-9, 4'-O-Acetylresveratrol

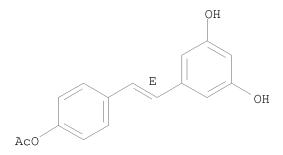
RL: CPS (Chemical process); PEP (Physical, engineering or chemical process); PRP (Properties); RCT (Reactant); PROC (Process); RACT (Reactant or reagent)

(laser flash photolysis study on hydrogen atom abstraction from resveratrol and two lipophilic derivs. by tert-butoxyl radicals)

RN 411233-11-9 CAPLUS

CN 1,3-Benzenediol, 5-[(1E)-2-[4-(acetyloxy)phenyl]ethenyl]- (CA INDEX NAME)

Double bond geometry as shown.



OS.CITING REF COUNT: 4 THERE ARE 4 CAPLUS RECORDS THAT CITE THIS RECORD

(4 CITINGS)

REFERENCE COUNT: 22 THERE ARE 22 CITED REFERENCES AVAILABLE FOR THIS

RECORD. ALL CITATIONS AVAILABLE IN THE RE FORMAT

L3 ANSWER 41 OF 43 CAPLUS COPYRIGHT 2009 ACS on STN

ACCESSION NUMBER: 2004:101126 CAPLUS

DOCUMENT NUMBER: 140:164047

TITLE: Structural carotenoid analogs for the inhibition and

amelioration of disease

INVENTOR(S): Lockwood, Samuel Fournier; O'Malley, Sean; Watumull,

David G.; Hix, Laura M.; Jackson, Henry; Nadolski,

Geoff

PATENT ASSIGNEE(S): Hawaii Biotech, Inc., USA

SOURCE: PCT Int. Appl., 278 pp.

CODEN: PIXXD2

DOCUMENT TYPE: Patent LANGUAGE: English

FAMILY ACC. NUM. COUNT: 16

PATENT INFORMATION:

PATENT NO.	KIND DATE	APPLICATION NO.	DATE
WO 2004011423	A2 20040205	WO 2003-US23706	20030729
WO 2004011423	A3 20040506		
W: AE, AG, AL,	AM, AT, AU, AZ,	BA, BB, BG, BR, BY, BZ,	CA, CH, CN,
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GM, HR, HU,	ID, IL, IN, IS,	JP, KE, KG, KP, KR, KZ,	LC, LK, LR,
LS, LT, LU,	LV, MA, MD, MG,	MK, MN, MW, MX, MZ, NI,	NO, NZ, OM,
PG, PH, PL,	PT, RO, RU, SC,	SD, SE, SG, SK, SL, SY,	TJ, TM, TN,
TR, TT, TZ,	UA, UG, UZ, VC,	VN, YU, ZA, ZM, ZW	
RW: GH, GM, KE,	LS, MW, MZ, SD,	SL, SZ, TZ, UG, ZM, ZW,	AM, AZ, BY,

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PRIORITY APPLN. INFO.:
                                            US 2002-399194P
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                                            US 2003-467973P
                                                                Ρ
                                                                    20030505
                                            US 2003-472831P
                                                                Ρ
                                                                    20030522
                                            US 2003-473741P
                                                                Ρ
                                                                    20030528
                                            US 2003-485304P
                                                                Ρ
                                                                    20030703
                                            WO 2003-US23706
                                                                W
                                                                   20030729
```

OTHER SOURCE(S): CASREACT 140:164047; MARPAT 140:164047

AB A method for inhibiting and/or ameliorating the occurrence of diseases associated with reactive oxygen species, reactive nitrogen species, radicals and/or non-radicals in a subject whereby a subject is administered a carotenoid structural analog I [R1, R2 = substituted acyclic alkene, ZW; R3 = H, Me; Z = unsatd. C4-10-cycloalkyl; W = XR, amino acid, ester, carbamate, amine, amide, carbonate, alc., phosphate, sulfonate, amine, sugar, glycoside, succinate, glycinate, carboxylate salt; X = 0, S, N], either alone or in combination with another carotenoid analog, or co-antioxidant formulation. The analog or analog combination is administered such that the subject's risk of experiencing diseases associated with reactive oxygen species, reactive nitrogen species, radicals and/or non-radicals may be thereby reduced. The analog or analog combination may be administered to a subject for the inhibition and/or amelioration of ischemia-reperfusion injury. The analog or analog combination may be administered to a subject for the inhibition and/or amelioration of liver disease. The analog or analog combination may be administered to a subject for the inhibition and/or amelioration of cancer. The analog or analog combination may be administered to a subject for the inhibition and/or amelioration of cardiac arrhythmia and/or sudden cardiac death. The analog or analog combination may be administered to a subject for the inhibition and/or amelioration of any disease that involves production of reactive oxygen species, reactive nitrogen species, radicals and/or non-radicals. In one embodiment, a water-soluble and/or water-dispersible astaxanthin analog is particularly effective. This invention further

includes pharmaceutical compns. comprising structural carotenoid analogs either alone or in combination.

IT 653566-06-4P 653566-07-5P

RL: PAC (Pharmacological activity); SPN (Synthetic preparation); THU (Therapeutic use); BIOL (Biological study); PREP (Preparation); USES (Uses)

(preparation, bioactivity and pharmacol. of structural carotenoid analogs for the inhibition and amelioration of disease)

RN 653566-06-4 CAPLUS

CN  $\beta$ ,  $\beta$ -Carotene-4, 4'-dione,

3-(3-carboxy-1-oxopropoxy)-3'-[4-[4-[(1E)-2-(3,5-dihydroxyphenyl)ethenyl]phenoxy]-1,4-dioxobutoxy]-, (3S,3'S)- (9CI) (CA INDEX NAME)

Absolute stereochemistry. Double bond geometry as shown.

PAGE 1-A

PAGE 1-C

\_\_CO2H

653566-07-5 CAPLUS RN CN  $\beta$ ,  $\beta$ -Carotene-4, 4'-dione, 3,3'-bis[4-[4-[(1E)-2-(3,5-dihydroxyphenyl)ethenyl]phenoxy]-1,4dioxobutoxy]-, (3S,3'S)- (9CI) (CA INDEX NAME)

Absolute stereochemistry. Double bond geometry as shown.

PAGE 1-C

PAGE 2-A

PAGE 2-B

PAGE 2-C

PAGE 3-A

OS.CITING REF COUNT: 8 THERE ARE 8 CAPLUS RECORDS THAT CITE THIS RECORD (8 CITINGS)

L3 ANSWER 42 OF 43 CAPLUS COPYRIGHT 2009 ACS on STN

ACCESSION NUMBER: 2003:652131 CAPLUS

DOCUMENT NUMBER: 139:214237

TITLE: Preparation of nitrate prodrugs able to release nitric

oxide in a controlled and selective way and their use for prevention and treatment of inflammatory, ischemic

and proliferative diseases

INVENTOR(S): Scaramuzzino, Giovanni

PATENT ASSIGNEE(S): Italy

OH

SOURCE: Eur. Pat. Appl., 313 pp.

CODEN: EPXXDW

DOCUMENT TYPE: Patent LANGUAGE: English

FAMILY ACC. NUM. COUNT: 1

PATENT INFORMATION:

GI

PAT	ENT 1	NO.			KIN	D	DATE			APPL:	ICAT:	I NOI	. OV		D	ATE	
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EP	1336	602			A1		2003	0820		EP 20	002-	4250	75		2	0020	213
	R:	ΑT,	BE,	CH,	DE,	DK,	ES,	FR,	GB,	GR,	ΙΤ,	LI,	LU,	NL,	SE,	MC,	PT,
		IE,	SI,	LT,	LV,	FI,	RO,	MK,	CY,	AL,	TR						
PRIORITY	APP	LN.	INFO	. :						EP 20	002-	4250	75		2	0020	213

New pharmaceutical compds. of general formula F-(X)q (I) [q = 1-5,AΒ preferably 1; F is chosen among drugs such as  $\delta$ -tocopherol, clidanac, diethylhomospermine, glucosamine, thymocartin, vofopitant, etc.; X is chosen among 4 groups M, T, V, and Y where M = ONO2, nitrate salt, nitrite ester, ONO, thoinitrite, SNO, etc., T = OR1-M, OR1OR1-M, SR1NR2R1-M, NR2R1-M, NR2R1SR1-M, etc., R1 = saturated or unsatd., linear or branched alkylene, having 1 to 21 carbon atoms or a saturated or unsatd., optionally heterosubstituted or branched cycloalkylene, having 3 to 7 carbon atoms or an optionally heterosubstituted arylene having 3 to 7 carbon atoms; R2 = H, saturated or unsatd., linear or branched 1-21 carbon atom alkyl, saturated or unsatd. optionally heterosubstituted or branched 3-7 carbon cycloalkyl, optionally heterosubstituted 3-7 carbon aryl; R1, R2 = OH, SH, F, Cl, Br, OPO3H2, CO2H, etc.; bond between F and T = carboxylicester, carboxylic amide, glycoside, azo, thioester, sulfonic ester, etc.; V = Z-M2, OZ-M2, NR2Z-M2, R1Z-M2, OR1-M2, OR1Z-M2, M2 = M, R1-M, OR1-M, SR1-M, NR2R1-M; ZM2 = COCH2CH(M2)CH2N+Me3, COCH2CH2COM2, COCH(NHR2)CH2M2, etc.; Y = 4-COC6H4CH2ONO2, O(CH2)4ONO2, COCH(NH2)CH2ONO2, 3-OC6H4CH2ONO2, etc.] were prepared  $\mbox{For example,}\ \alpha\mbox{-tocopherol reacted with}$ 4-HO2CC6H4CH2ONO2 to give the nitroxymethyl derivative II. The compds. of general formula I are nitrate prodrugs which can release nitric oxide in vivo in a controlled and selective way and without hypotensive side effects and for this reason they are useful for the preparation of medicines for prevention and treatment of inflammatory, ischemic, degenerative and proliferative diseases of musculoskeletal, tegumental, respiratory, gastrointestinal, genito-urinary and central nervous systems.

ΙT 586350-57-4P

RL: PAC (Pharmacological activity); SPN (Synthetic preparation); THU (Therapeutic use); BIOL (Biological study); PREP (Preparation); USES

(preparation of nitrate prodrugs for treating or preventing inflammatory, ischemic, degenerative, and proliferative diseases)

586350-57-4 CAPLUS RN

Butanoic acid, 4-(nitrooxy)-, 4-[(1E)-2-(3,5-CN dihydroxyphenyl)ethenyl]phenyl ester (CA INDEX NAME)

Double bond geometry as shown.

OS.CITING REF COUNT: 16 THERE ARE 16 CAPLUS RECORDS THAT CITE THIS

RECORD (16 CITINGS)

THERE ARE 19 CITED REFERENCES AVAILABLE FOR THIS REFERENCE COUNT: 19 RECORD. ALL CITATIONS AVAILABLE IN THE RE FORMAT

ANSWER 43 OF 43 CAPLUS COPYRIGHT 2009 ACS on STN

2002:66575 CAPLUS ACCESSION NUMBER:

136:308578 DOCUMENT NUMBER:

TITLE: Chemo-enzymatic preparation of resveratrol derivatives

AUTHOR(S): Nicolosi, Giovanni; Spatafora, Carmela; Tringali,

Corrado

CORPORATE SOURCE: Istituto CNR per lo Studio delle Sostanze Naturali,

Valverde CT, 95028, Italy

SOURCE: Journal of Molecular Catalysis B: Enzymatic (2002),

16(5-6), 223-229

CODEN: JMCEF8; ISSN: 1381-1177

Elsevier Science B.V. PUBLISHER:

DOCUMENT TYPE: Journal LANGUAGE: English

OTHER SOURCE(S): CASREACT 136:308578

Regioselective derivatization of resveratrol (1) at positions 3, 5 or 4' was achieved by a chemo-enzymic procedure based on standard chemical reactions and esterification or alcoholysis in organic solvents catalyzed by the com. available Pseudomonas cepacia (PcL) and Candida antarctica (CaL) lipases.

ΙT 411233-11-9P, 4'-O-Acetylresveratrol

RL: BPN (Biosynthetic preparation); PRP (Properties); PUR (Purification or recovery); RCT (Reactant); BIOL (Biological study); PREP (Preparation); RACT (Reactant or reagent)

(chemo-enzymic preparation of resveratrol derivs.)

411233-11-9 CAPLUS RN

1,3-Benzenediol, 5-[(1E)-2-[4-(acetyloxy)phenyl]ethenyl]- (CA INDEX NAME) CN

Double bond geometry as shown.

OS.CITING REF COUNT: THERE ARE 11 CAPLUS RECORDS THAT CITE THIS 11

RECORD (11 CITINGS)

REFERENCE COUNT: THERE ARE 32 CITED REFERENCES AVAILABLE FOR THIS 32

RECORD. ALL CITATIONS AVAILABLE IN THE RE FORMAT

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---Logging off of STN---

Executing the logoff script...

=> LOG Y

COST IN U.S. DOLLARS	SINCE FILE ENTRY	TOTAL SESSION
FULL ESTIMATED COST	243.52	429.62
DISCOUNT AMOUNTS (FOR QUALIFYING ACCOUNTS)	SINCE FILE ENTRY	TOTAL SESSION
CA SUBSCRIBER PRICE	-35.26	.00 .0 _ 0

STN INTERNATIONAL LOGOFF AT 16:35:59 ON 20 AUG 2009